

DYNNIKOV'S FORMULAS FOR THE BRAID ORDERING

Patrick DEHORNOY
Université de Caen

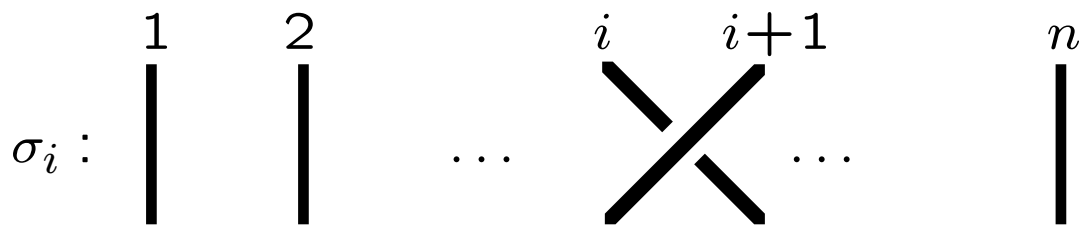
The linear ordering of braids, which was first discovered using results of self-distributive algebra, has now received several alternative constructions. Here, we mention some of them, in particular the recent approach developed by Ivan Dynnikov using laminations.

The linear ordering of braids

- Standard presentation of Artin's braid group B_n :

$$\left\langle \sigma_1, \dots, \sigma_{n-1} \mid \begin{cases} \sigma_i \sigma_j \sigma_i = \sigma_i \sigma_j \sigma_i & \text{for } |i - j| = 1 \\ \sigma_i \sigma_j = \sigma_j \sigma_i & \text{for } |i - j| \geq 2 \end{cases} \right\rangle$$

with geometric interpretation:



Proposition (A) (acyclicity): *A σ_1 -positive braid is not trivial.*

- σ_1 -positive = admits at least one expression where σ_1 appears but σ_1^{-1} does not

Proposition (C) (comparison): *Every braid is σ_1 -positive, σ_1 -negative, or σ_1 -neutral.*

- σ_1 -negative = ... σ_1^{-1} but no σ_1 ...
- σ_1 -neutral = ... no σ_1 and no σ_1^{-1} ...

- **Corollary:** Let P_1 be the set of all σ_1 -positive braids. Let

$$P = P_1 \cup \text{sh}(P_1) \cup \text{sh}^2(P_1) \cup \dots$$

where

$$\text{sh} : \sigma_i \mapsto \sigma_{i+1} \text{ (the shift endomorphism).}$$

Then P is a positive cone:

- **Proposition:** Define

$$b_1 <_L b_2 \text{ iff } b_1^{-1}b_2 \in P;$$

then $<_L$ is a **left invariant linear ordering** on B_∞ .

- **Remark 1:** If we define

$$b_1 <_R b_2 \text{ iff } b_2b_1^{-1} \in P,$$

then $<_R$ is a right invariant linear ordering on B_∞ and

$$b_1 <_R b_2 \text{ is equivalent to } b_1^{-1} <_L b_2^{-1}.$$

- **Remark 2** (D. Rolfsen): There can exist **no** bi-invariant ordering on B_∞ :

$$\Delta_3\sigma_1\Delta_3^{-1} = \sigma_2 \quad \text{and} \quad \Delta_3\sigma_2\Delta_3^{-1} = \sigma_1.$$

- Some **properties** of the linear ordering:

(R. Laver) **For each n , the restriction of the linear ordering $<_L$ to the monoid B_n^+ of Garside positive braids is a well-order.**

→ assigns a unique, well-defined ordinal to each Garside positive braid;

→ assigns a pair of ordinals to each braid;

but also

→ assigns a pair of ordinals to each conjugacy class of braids;

→ assigns a pair of ordinals to each Markov class of braids, etc.

- Some **applications** of the linear ordering:
- (The group B_∞ is torsion-free.)
- The algebra $\mathbb{C}B_\infty$ has no zero divisor.
- (E. Formanek) Each group B_n is isolated in B_∞ , *i.e.*, $b^k \in B_n$ implies $b \in B_n$.
- Convergence of handle reduction (a practically very efficient solution for the braid isotopy problem).
- Proving that some representation of braids is faithful: it suffices to show that the image of a σ -positive braid is not trivial (used by V. Shpilrain for some Wada's representation).

- What is important in the braid ordering?
 - its existence
 - Acyclicity and Comparison Properties,
 - its characterization
 - expressions by words with σ_1 and no σ_1^{-1} .

- Natural task: to find other approaches (corresponding to other ways of introducing braids), and, for each of them,
 - characterize the order, and
 - reprove Properties A and C (if possible).

- **Example 0:** Braid colorings and self-distributive systems.

[the original approach, relying on the study of the identity $x(yz) = (xy)(xz)$;

- characterization: the one above.
- proofs of Properties A and C.

- **Example 1:** Automorphisms of a free group. (D. Larue)

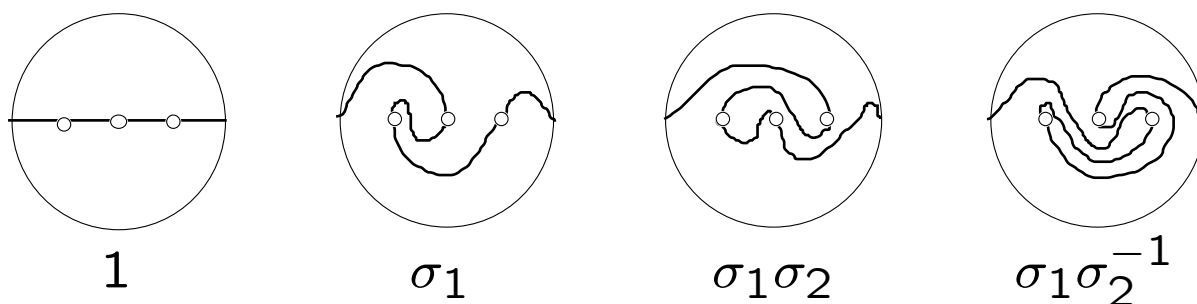
Embed B_n into $\text{Aut}(F_n)$, where F_n free group generated by x_1, \dots, x_n by

$$\sigma_i(x_k) = \begin{cases} x_i x_{i+1} x_i^{-1} & \text{for } k = i, \\ x_i & \text{for } k = i + 1, \\ x_k & \text{otherwise.} \end{cases}$$

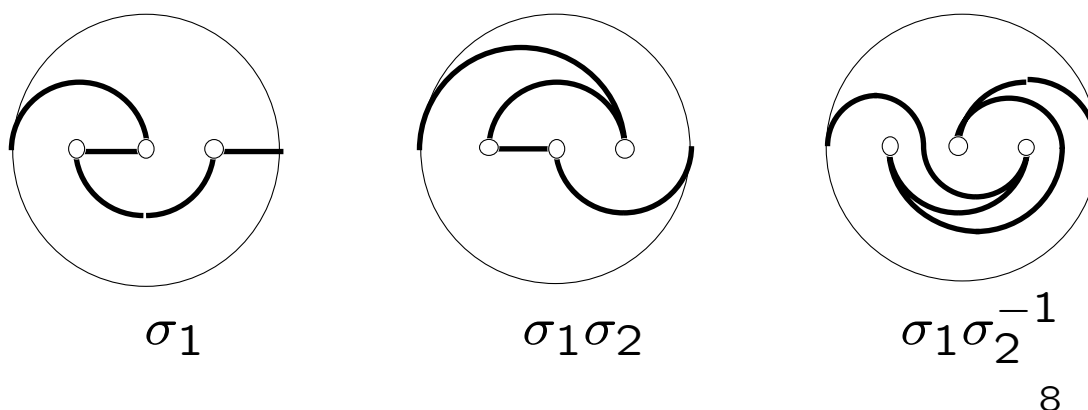
- characterization: b is σ_1 -positive iff $b(x_1)$ ends with x_1^{-1} .
- *simple* proof of Property A;
- consequence of Property C: faithfulness.

- **Example 2:** Mapping class group and curve diagrams. (R. Fenn, M. Greene, D. Rolfsen, C. Rourke, B. Wiest)

Introduce B_n as the mapping class group of a disk with n punctures; for each braid b (= diffeomorphism of the punctured disk that leaves the boundary fixed), look at the image of the main diameter (called the **curve diagram** of the braid):



- Main result: existence and uniqueness of some **standardized** curve diagram

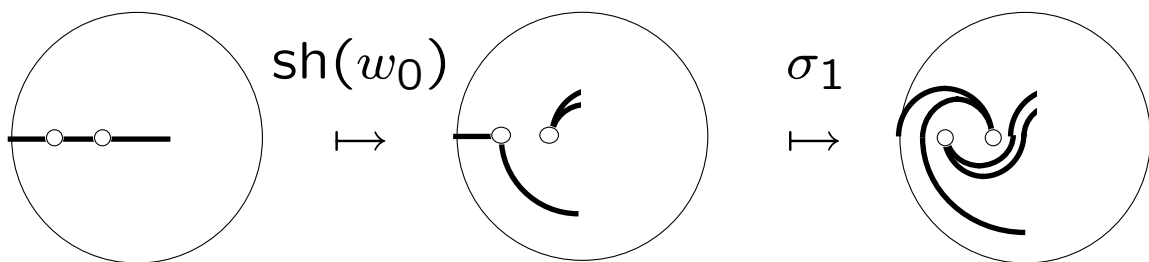


• Then

- characterization:

Proposition: *A braid b is σ -positive if and only if the standardized curve diagram associated with b diverges from the main diameter to the left.*

- (easy) proof of Property A:



- (difficult) proof of Property C [”useful arcs”].

- **Example 3:** Hyperbolic geometry. (H. Short, B. Wiest, after Nielsen and W. Thurston)

Identify B_n with the mapping class group of a punctured disk D_n , consider the universal covering of D_n in the hyperbolic plane; for φ in B_n , look at the action of the lifting of φ on the boundary of \widetilde{D}_n :

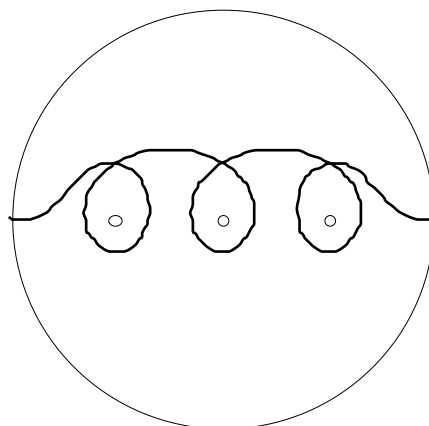
Proposition: There exists an action of B_n on the real line consisting of order preserving homeomorphisms, and the action is faithful on an uncountable Borel set U (actually a dense G_δ), i.e., for every x in U , $b_1 \neq b_2$ implies $b_1(x) \neq b_2(x)$.

- Then define:

$$b_1 <_x b_2 \iff b_1(x) < b_2(x).$$

→ a linear order $<_x$ on B_n for every x in U .

- The order $<_L$ corresponds to the geodesic:

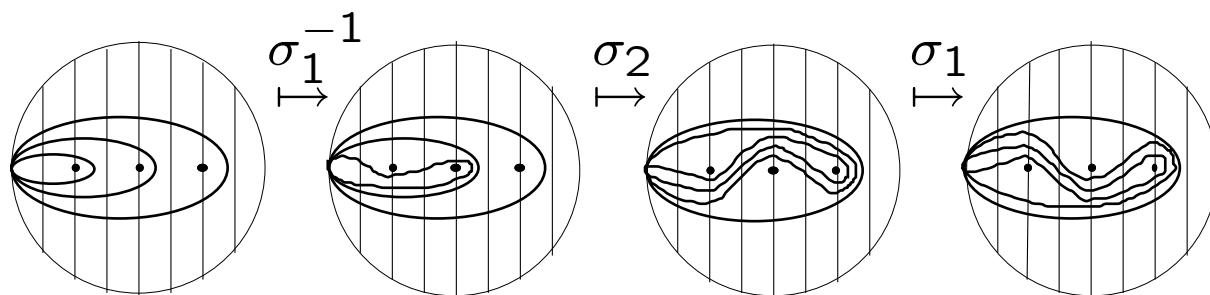


• **Example 4:** Laminations. (I. Dynnikov)

Instead of considering the image of the main diameter, look at the image of a family of circles L_0 starting from the origin and encircling 1, 2, \dots , n punctures respectively.

→ a *lamination* consisting of n non-intersecting curves in the disk.

Examples:



• Encoding laminations:

- count the intersections with fixed vertical (half)-lines:

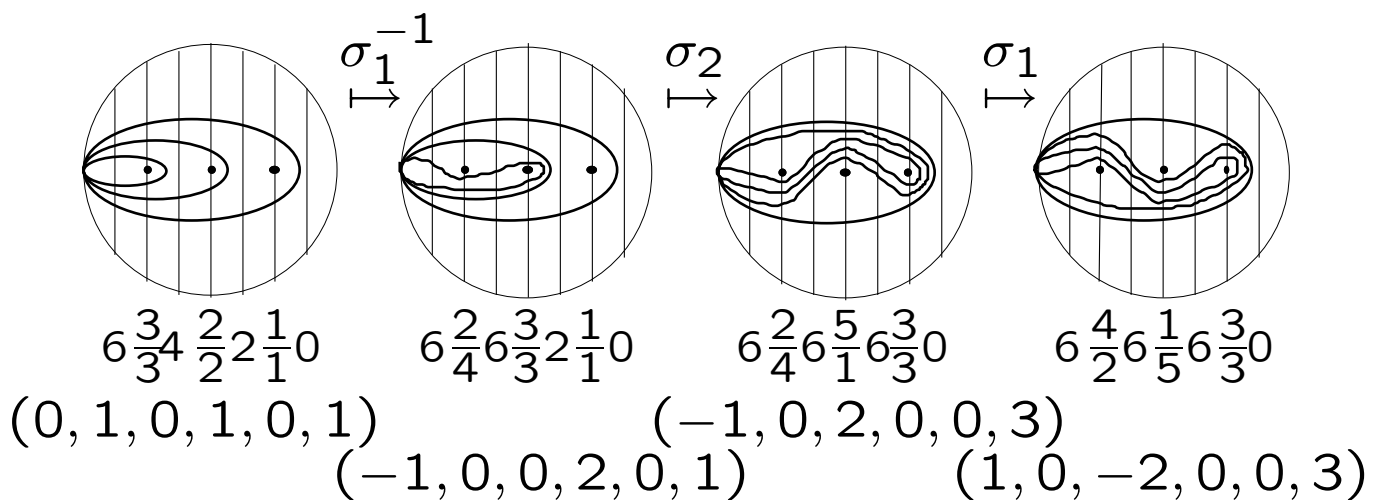
$$\begin{cases} x_i = \# \text{ int. with half-line } x = i, y > 0 \\ y_i = \# \text{ int. with half-line } x = i, y < 0 \\ z_i = \# \text{ int. with line } x = i + 1/2 \end{cases}$$

(require x_i , y_i , and z_i to be minimal in the isotopy class);

- then encode by $(a_1, b_1, a_2, b_2, \dots, a_n, b_n)$ with

$$a_i = (x_i - y_i)/2 \quad \text{and} \quad b_i = (z_{i-1} - z_i)/2$$

Examples:



Notation: $x^+ = \sup(x, 0)$, $x^- = \inf(x, 0)$.

Proposition: (Dynnikov's formulas) *If L is coded by $(a_1, b_1, \dots, a_n, b_n)$, then $L\sigma_i$ is coded by $(a'_1, b'_1, \dots, a'_n, b'_n)$, with $a'_k = a_k$, $b'_k = b_k$ for $k \neq i, i + 1$, and*

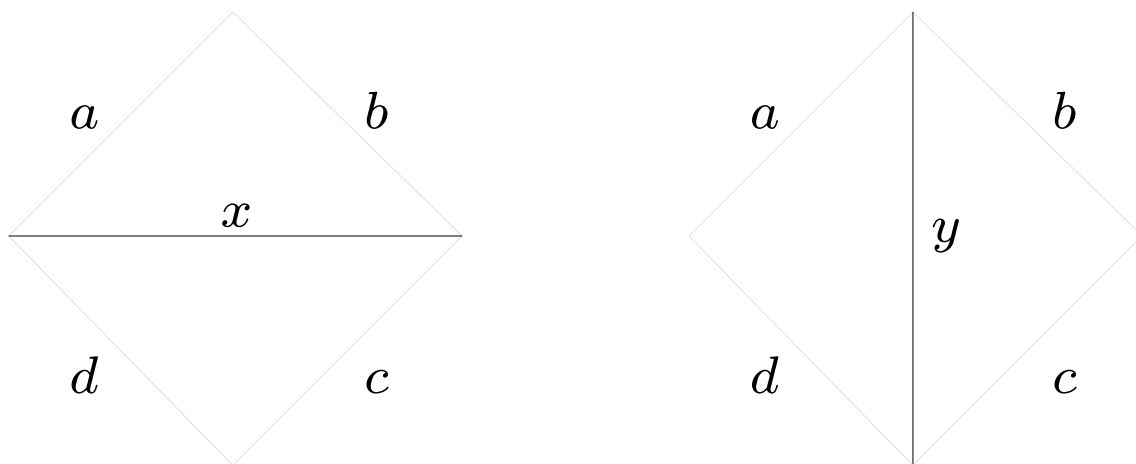
$$\begin{aligned} a'_i &= a_i + b_i^+ + (b_{i+1}^+ - c)^+ \\ b'_i &= b_{i+1} - c^+ \\ a'_{i+1} &= a_{i+1} + b_{i+1}^- + (b_i^- + c)^-, \\ b'_{i+1} &= b_i + c^+ \end{aligned}$$

with $c = a_i - b_i^- - a_{i+1} + b_{i+1}^+$,
(resp., $L\sigma_i^{-1}$ is coded by ... and

$$\begin{aligned} a'_i &= a_i - b_i^+ - (b_{i+1}^+ + d)^+ \\ b'_i &= b_{i+1} + d^- \\ a'_{i+1} &= a_{i+1} - b_{i+1}^- - (b_i^- - d)^- \\ b'_{i+1} &= b_i - d^- \end{aligned}$$

with $d = a_i + b_i^- - a_{i+1} - b_{i+1}^+$).

- Proof: Count the intersections with triangulations; decompose the action of $\sigma_i^{\pm 1}$ into elementary flips; the basic formula is:



$$x + y = \sup(a + c, b + d)$$

- Dynnikov's formulas define a right action of B_n on \mathbb{Z}^{2n}
 → can check the compatibility with the braid relations directly (tedious, but easy).

Lemma: Assume that b is a σ_1 -positive braid. Then the first entry in the sequence

$$(0, 1, 0, 1, \dots) * b$$

is positive.

Proof: (straightforward) The action of σ_1 is associated with

$$a'_1 = a_1 + b_1^+ + (b_2^+ - c)^+$$

for some c , so $a_1 \leq a'_1$ always, and $a_1 < a'_1$ for $b_1 > 0$, which is the initial case. \square

- characterization:

Proposition: *The braid b is σ -positive (resp. σ_1 -positive) iff the first non-zero entry of odd index (resp. the first entry) in $(0, 1, \dots, 0, 1) * b$ is positive.*

- proof of Property A: straightforward;

- consequence of Property C: Dynnikov's action of B_n on \mathbb{Z}^{2n} is faithful.

• **Application:** New solution to the isotopy problem of braids (from the standard presentation):

- starting from a braid word w , compute the sequence of integers $(0, 1, 0, 1, \dots) * w$ using Dynnikov's formulas.

Proposition: *The word problem of B_∞ and the linear order $<_L$ on B_∞ are decidable in quadratic time: deciding for w requires at most $C \cdot \lg(w)^2$ steps, where C is some constant not depending on the number of strands.*

→ adding one letter $\sigma_i^{\pm 1}$ increases each integer by at most one digit (only additions, **no** multiplication).

References

P. Dehornoy; Braids and Self-Distributivity; Progress in Math. vol. 192, Birkhäuser, (2000)

R. Fenn, M.T. Greene, D. Rolfsen, C. Rourke & B. Wiest; Ordering the braid groups; Pacific J. of Math.; 191; 1999; 49–74.

H. Short & B. Wiest; Ordering the mapping class groups after Thurston; preprint (1999).