

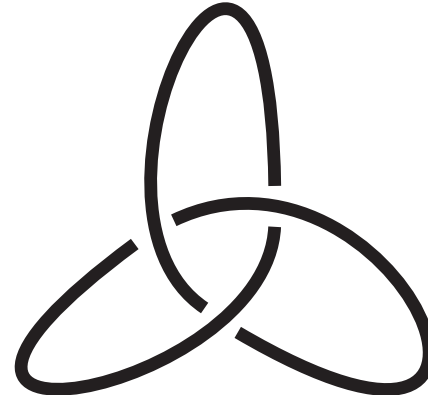
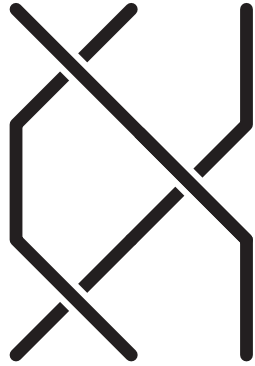
DIAGRAM COLOURINGS AND APPLICATIONS

Seoul, Feb. 2004

- General principle (Brieskorn, Alexander): Colour the arcs of a braid or a link diagram
 - ↪ extract information about the braid or the link.
 - ↪ Self-distributivity $x * (y * z) = (x * y) * (x * z)$.
 - ↪ algebraic translation of Reidemeister move of type III.
 - ↪ Use various types of self-distributive operations (classical and non-classical)
 - ↪ various applications.
- Aim: To show how various colouring techniques can be used.

Arc colouring

- Consider a standard braid or link diagram D :

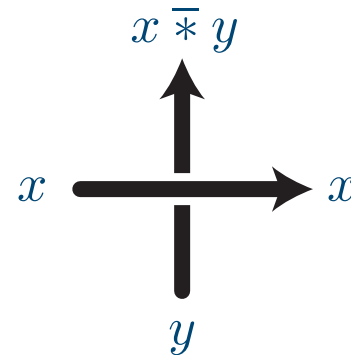
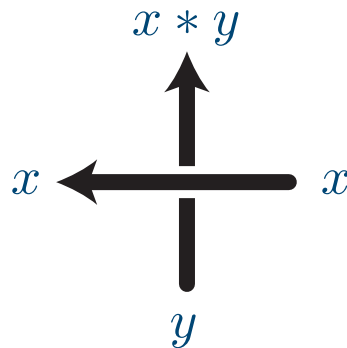


- Attach colours from a set S to the arcs of D , and propagate them along the arcs.

↪ Not much to learn if colours never change;

↪ More interesting if colours may change:

↪ Fix rules for crossings:

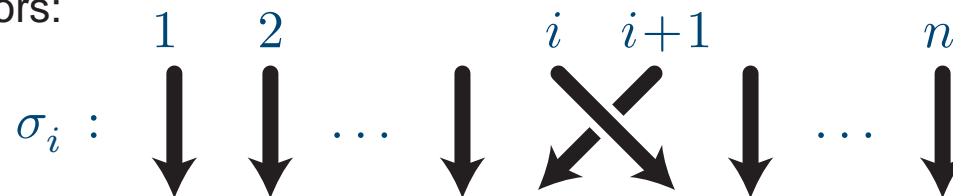


Invariance under isotopy

- Want information about the braid or the link represented by the diagram, not about the diagram
 \rightsquigarrow require **invariance under isotopy**.

- Case of braids:

- Standard generators:



- Standard presentation for

\rightsquigarrow the **braid group** B_n , and

\rightsquigarrow the **braid monoid** B_n^+ :

$$\left\langle \sigma_1, \dots, \sigma_{n-1}; \begin{cases} \sigma_i \sigma_j = \sigma_j \sigma_i & \text{for } |i - j| \geq 2 \\ \sigma_i \sigma_j \sigma_i = \sigma_j \sigma_i \sigma_j & \text{for } |i - j| = 1 \end{cases} \right\rangle$$

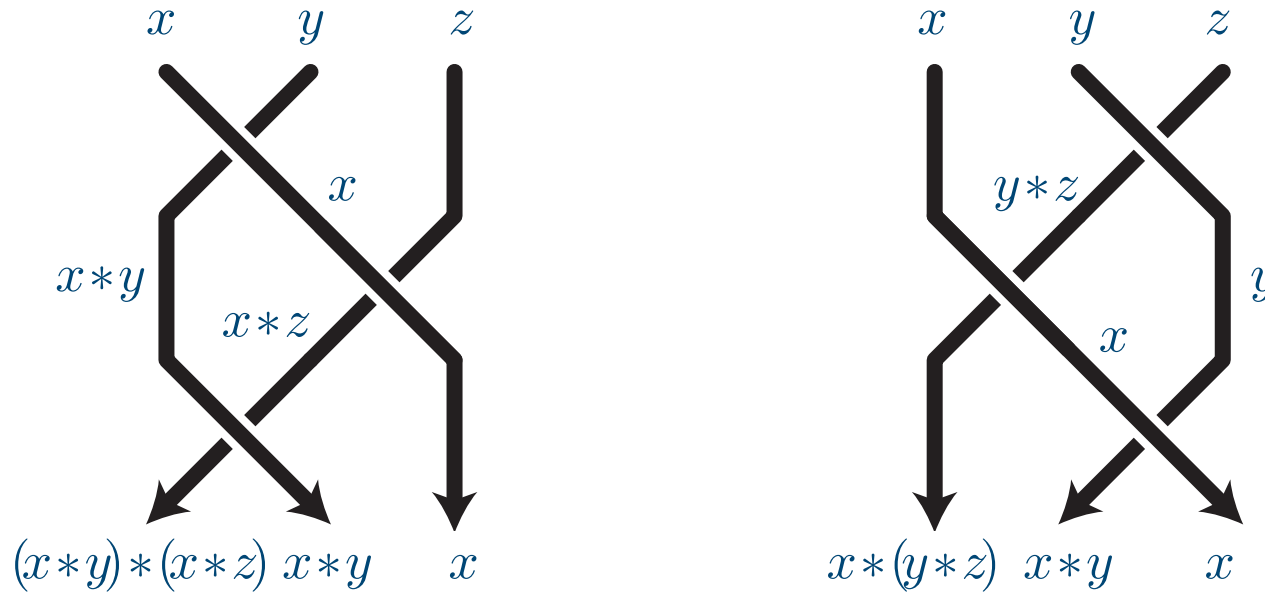
\rightsquigarrow Then: invariance under isotopy = **compatibility with braid relations**.

Case of positive braids

- Fact.- Colouring is compatible with isotopy iff $*$ satisfies Identity LD:

$$x * (y * z) = (x * y) * (x * z). \quad (1)$$

Proof:



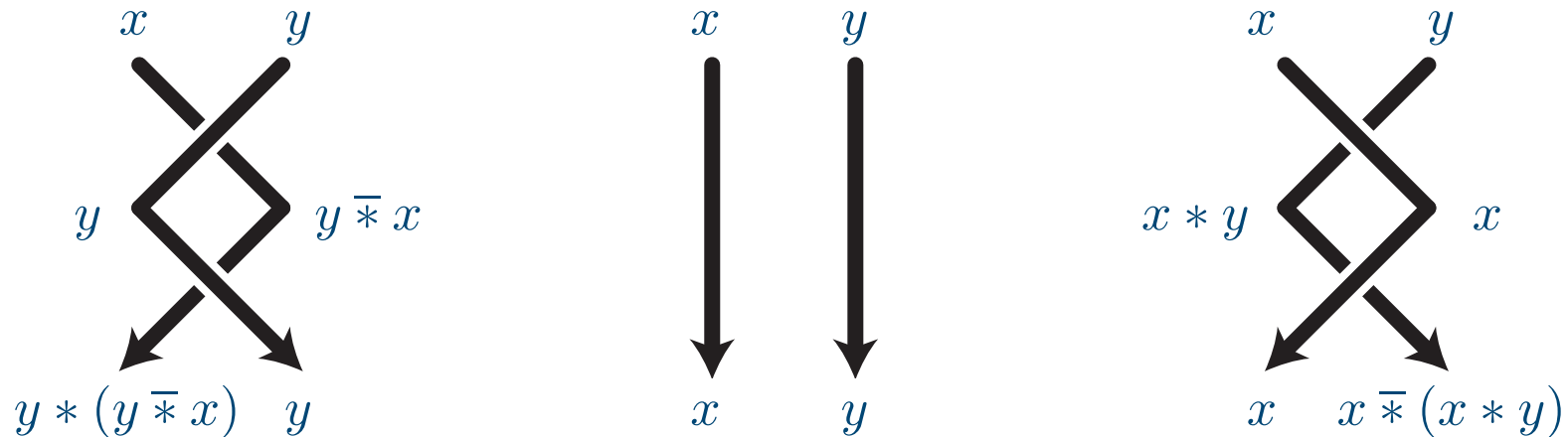
- Def.- $(S, *)$ is an LD-system if $*$ satisfies (1).

Case of arbitrary braids

- Fact.- Colouring is compatible with isotopy iff $*$ satisfies Identity LD, plus

$$x * (x \bar{*} y) = x \bar{*} (x * y) = y. \quad (2)$$

Proof:



- ↪ $\bar{*}$ is a left inverse for $*$: left translations rel to $*$ and $\bar{*}$ are bijections,
- ↪ left cancellation is allowed for $*$ and $\bar{*}$
- ↪ $*$ determines $\bar{*}$: $x \bar{*} y =$ the unique z satisfying $x * z = y$.

- Def.- $(R, *, \bar{*})$ is a **rack** if $*$ satisfies (1) plus (2).

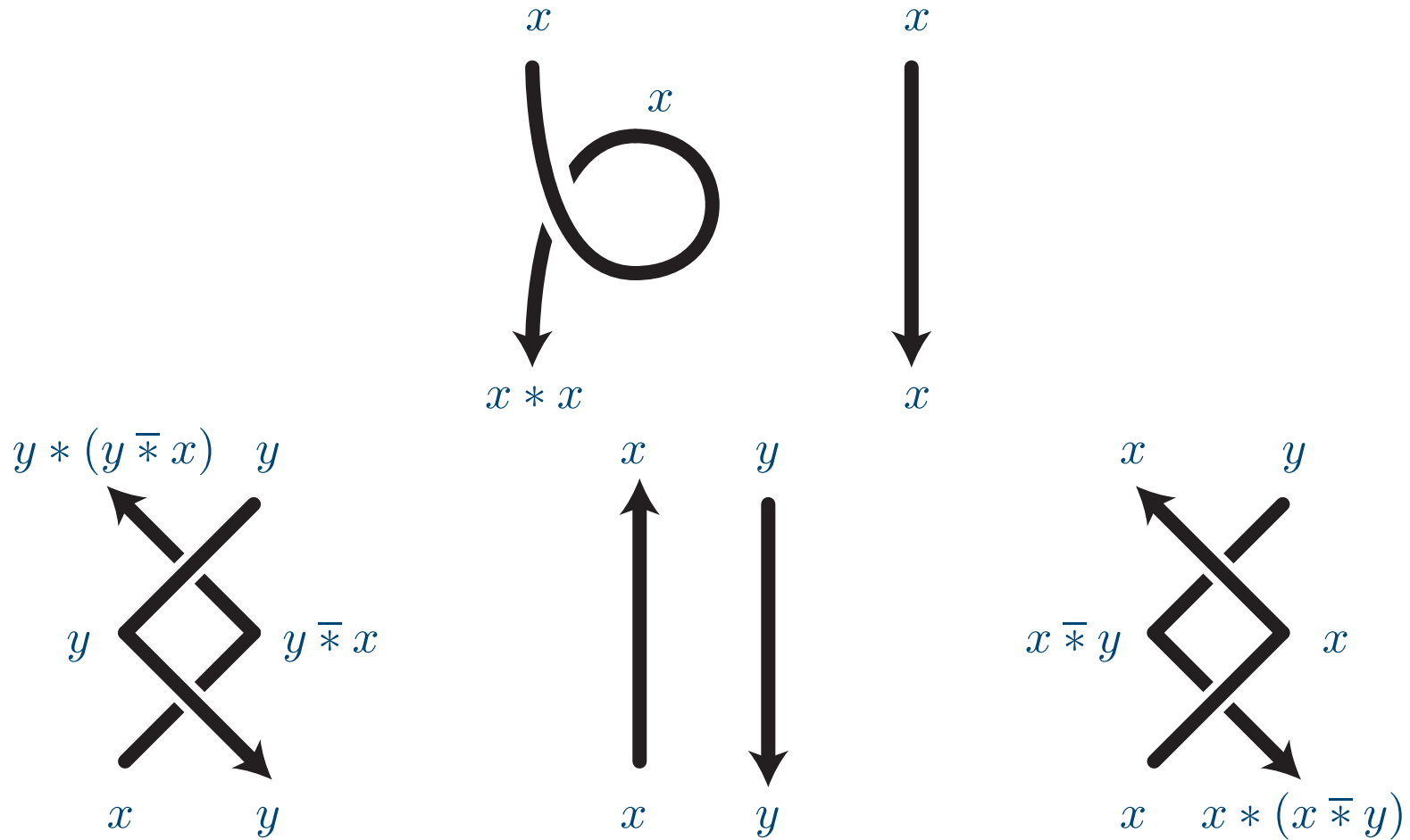
Case of links

- Invariance under isotopy = compatibility with Reidemeister moves
- Fact.- Colouring is compatible with Reidemeister moves iff $*$, $\bar{*}$ satisfies the rack identities, plus

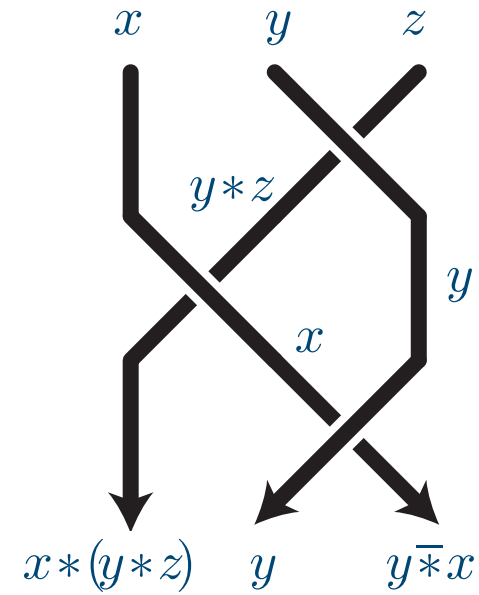
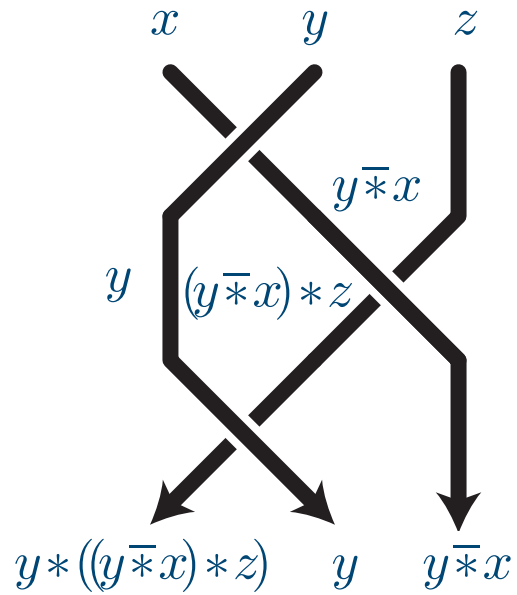
$$x * x = x.$$

(3)

Proof:



Case of links (cont'd)



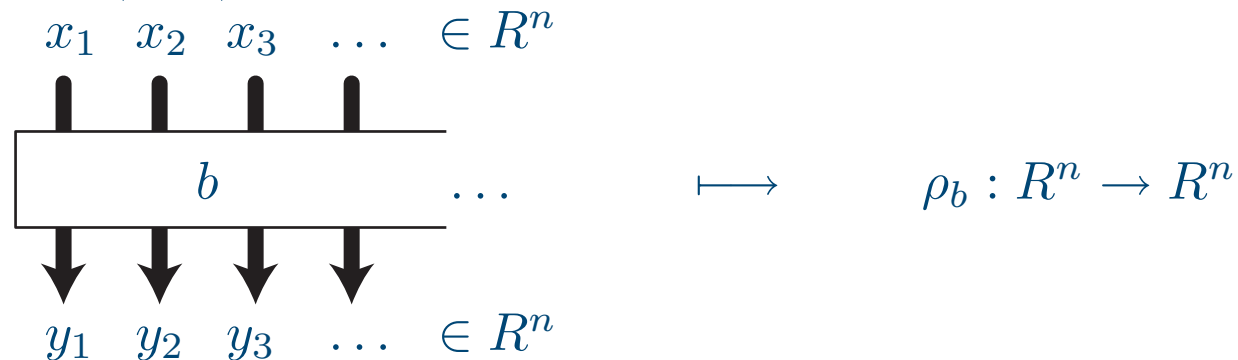
- Def.— $(Q, *, \bar{*})$ is a **quandle** if $*$ satisfies (1), (2), (3).

Two ways of using colourings

Braids are **open**, knots and links are **closed** \rightsquigarrow different ways of using colourings.

• Braids: The **Hurwitz action** of braids on sequences of colours.

\rightsquigarrow Fix **one** rack $(R, *)$, and use it to colour every braid b : $\rightsquigarrow b$ defines a map of R^n to itself.



• Def.- For $(R, *, \bar{*})$ a rack, put $\mathbf{x} \bullet \varepsilon = \mathbf{x}$ (for $\varepsilon =$ empty word), and

$$\mathbf{x} \bullet (\sigma_i w) = (x_1, \dots, x_{i-1}, x_i * x_{i+1}, x_i, x_{i+2} \dots) \bullet w$$

$$\mathbf{x} \bullet (\sigma_i^{-1} w) = (x_1, \dots, x_{i-1}, x_{i+1}, x_i \bar{*} x_{i+1}, x_{i+2} \dots) \bullet w.$$

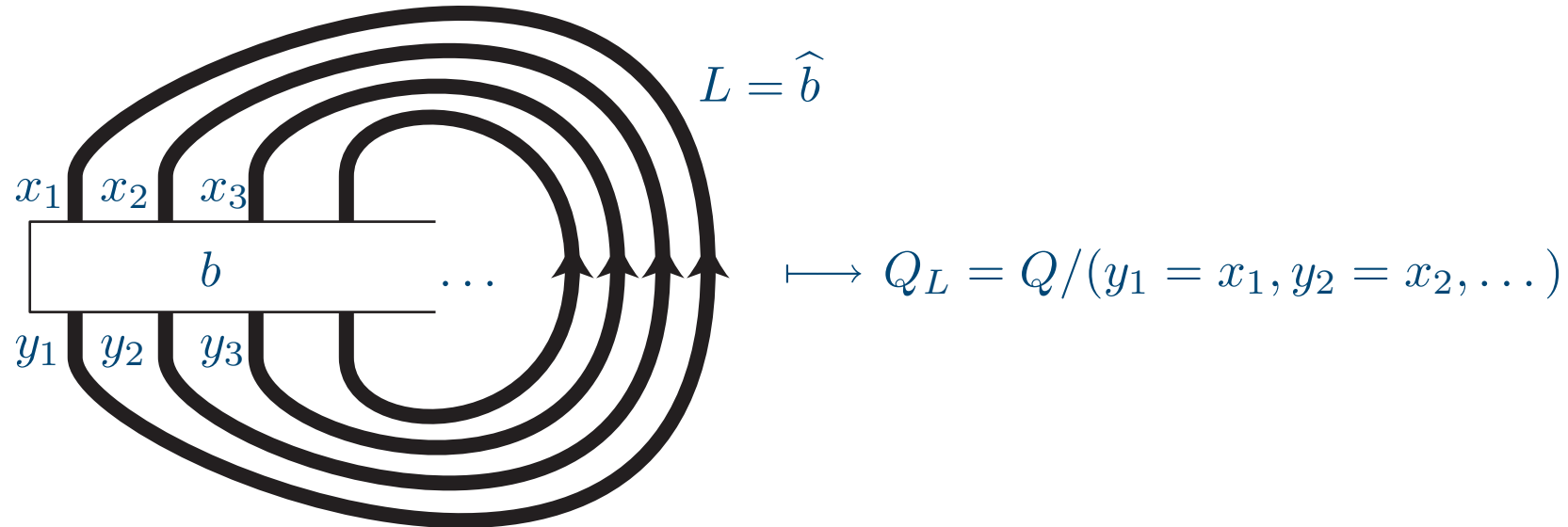
• Proposition.- (**Brieskorn**) For each LD-system $(S, *)$ one obtains an action of B_n^+ on S^n .
 For each rack $(R, *, \bar{*})$ one obtains an action of B_n on R^n .

Two ways of using colourings (cont'd)

- Links: pushing the colours leads to obstructions

↪ **quotient** of the initial quandle (depending on the link)

↪ invariant of that link



↪ the more general the quandle, the most powerful the invariant.

↪ **fundamental** quandle: Q_L for Q free on n generators if L closure of an n strand braid.

- Proposition.- (Joyce, Matveev) The fundamental quandle is a complete invariant of the isotopy type up to a mirror symmetry.

(BUT problem: how to compute Q_L ?)

Example 1: trivial rack

- Take S = any set, and

$$x * y = y, \quad x \bar{*} y = y.$$

- ↪ a rack, even a quandle;
- ↪ amounts to **not** changing colours.

- For braids: leads to

$$x \bullet b = \text{perm}(b)(x)$$

where $\text{perm}(b)$ is the permutation associated with b .

- ↪ Here, the Hurwitz action leads to

$$\text{perm} : B_n \twoheadrightarrow \mathfrak{S}_n.$$

- For links: identifying output colours with input colours yields a quotient with k elements for a link L with k components.

Example 2: shift rack

- Take \mathbf{Z} = the integers, and

$$x * y = y + 1, \quad x \bar{*} y = y - 1.$$

↪ a rack, not a quandle ($0 * 0 = 1$).

- For braids: leads to

$$\sum (x \bullet b) = \sum x + \text{sum}(b)$$

where $\text{sum}(b)$ is the exponent sum of b .

↪ Here, the Hurwitz action leads to the augmentation homomorphism

$$\text{sum} : B_n \twoheadrightarrow (\mathbf{Z}, +)$$

mapping every σ_i to 1.

Example 3: Alexander rack

- Take for E a $\mathbf{Z}[t, t^{-1}]$ -module, and

$$x * y = (1 - t)x + ty, \quad x \bar{*} y = (1 - t^{-1})x + t^{-1}y$$

↪ a rack, even a quandle.

- For braids: leads to

$$\mathbf{x} \bullet b = \mathbf{x} \times r_B(b)$$

where $r_B(b)$ is an $n \times n$ matrix associated with b)

↪ Here, the Hurwitz action gives a linear representation

$$r_B : B_n \rightarrow GL_n(\mathbf{Z}[t, t^{-1}])$$

↪ the (unreduced) Burau representation

- For links: quotienting under $\mathbf{x} \bullet b = \mathbf{x}$ gives the Alexander ideal

↪ hence the Alexander polynomial.

Example 4: conjugacy rack

- Take for F_n a the free group based on $\{x_1, \dots, x_n\}$, and

$$x * y = xyx^{-1}, \quad x \bar{*} y = x^{-1}yx$$

↪ a rack, even a quandle.

- For braids: Define y_1, \dots, y_n by

$$(x_1, \dots, x_n) \bullet b = (y_1, \dots, y_n).$$

Then $\varphi(b) : x_i \mapsto y_i$ is an automorphism of F_n .

↪ Here the Hurwitz action gives Artin's representation

$$\varphi : B_n \rightarrow \text{Aut}(F_n).$$

- For links: quotienting under $x \bullet b = x$ defines a group associated with the closure of b
↪ the fundamental group of the complement of \hat{b} , via its Wirtinger presentation.

Example 5: free racks

↪ Are there many more different types of racks?

↪ **NO**: conjugacy racks are close to **free** racks, i.e., the most general possible racks.

Let G be a group and $X \subseteq G$; on $G \times X$ take

$$(a, x) * (b, y) = (axa^{-1}b, y), \quad (a, x) \bar{*} (b, y) = (ax^{-1}a^{-1}b, y).$$

● **Fact.**- This is a rack, and, for G free based on X , the rack is free.

↪ close to conjugacy ('first half of conjugacy words'),

↪ in particular, always nearly idempotent:

$$x * y = (x * x) * y.$$

● **Questions.**— 1. Does there exist LD-systems of a different type?

(in particular where left division has no cycle)

2. (If so) Can one use them to colour braid or link diagrams?

Example 6: injection bracket

- Take I_∞ = the set of all injective, non-bijective mappings of \mathbf{N} into itself, and

$$f * g(n) = \begin{cases} fgf^{-1}(n) & \text{for } n \text{ in the image of } f, \\ n & \text{otherwise.} \end{cases}$$

- ↪ An LD-system in which $x * y = (x * x) * y$ is false
(and whose presentation is unknown).

Colouring with more general LD-systems

At the end of the 1980's: new, completely different LD-systems coming from Set Theory

~> not directly useful here, but gave (strong) motivation for further study.

~> Arbitrary LD-systems are OK for **positive** braid diagrams, but

~> Problem for arbitrary diagrams

(Can be coloured, but no uniqueness or invariance).

~> Technical detour: **braid word reversing**

Braid word reversing

Let $\sigma =$ the sequence $\sigma_1, \sigma_2, \dots$. Define $f : \sigma \times \sigma \rightarrow \sigma^*$ (the words on σ) by

$$f(\sigma_i, \sigma_j) = \begin{cases} \sigma_j & \text{for } |i - j| \geq 2, \\ \sigma_j \sigma_i & \text{for } |i - j| = 1, \\ \varepsilon & \text{for } i = j. \end{cases}$$

\rightsquigarrow the presentation of B_n consists of all relations

$$\sigma_i f(\sigma_i, \sigma_j) = \sigma_j f(\sigma_j, \sigma_i). \quad (*)$$

Now (*) also implies

$$\sigma_i^{-1} \sigma_j = f(\sigma_i, \sigma_j) f(\sigma_j, \sigma_i)^{-1}.$$

\rightsquigarrow When we replace a subword of the form $\sigma_i^{-1} \sigma_j$ with the corresponding $f(\sigma_i, \sigma_j) f(\sigma_j, \sigma_i)^{-1}$ in a braid word, we obtain an equivalent word.

• Def.— Say that a braid word w is **right reversible** to w' if one can transform w into w' in this way (i.e., by iteratively pushing the negative letters to the right and the positive to the left).

\rightsquigarrow If w is right reversible to w' , then w and w' are equivalent, **but** no converse (of course).

A partial Hurwitz action

... nevertheless, partial converse implication:

● Proposition.- If u, v are positive braid words, then u and v are equivalent (i.e., represent the same braid) if and only if $u^{-1}v$ is right reversible to the empty word.

● \rightsquigarrow Let $(S, *)$ be a left cancellative LD-system;

for each sequence of input colours x and each braid word w ,

- there exists at most one colouring of (the diagram coded by) w starting with x ,
- if so, there exists exactly one colouring with the same input and output colours for each word w' such that w is right reversible to w' .

\rightsquigarrow A partial action of B_n on S^n : for x a sequence of colours and b a braid,

- $x \bullet b$ need not exist, but
- there always exists at least one sequence x s.t. $x \bullet b$ exists, and
- $x \bullet b$ is uniquely determined when it exists.

Free LD-systems

- Def.– \mathbf{D} = the free LD-system on one generator.
- ↪ \mathbf{D} consists of all expressions $g, g * g, g * (g * g), \dots$ with LD-equivalent expressions identified;
- ↪ similar to \mathbf{Z}_+ when self-distributivity $x(yz) = (xy)(xz)$ replaces associativity $x(yz) = (xy)z$.
(\mathbf{Z}_+ is the free semigroup on one generator)
- In the case of \mathbf{Z}_+ : $(\exists z)(y = x + z)$ defines a **linear ordering**;
↪ similar in the case of \mathbf{D} (but more difficult to prove...):

• Proposition.- The transitive closure \sqsubseteq of the relation $(\exists z)(y = x * z)$ is a linear ordering on \mathbf{D} .

↪ \mathbf{D} is left cancellative

↪ Use \mathbf{D} to colour braids, and its ordering to order them:

• Proposition.- For b_1, b_2 in B_n , say that $b_1 < b_2$ is true if $x \bullet b_1 \sqsubseteq^{Lex} x \bullet b_2$ holds for some x in \mathbf{D}^n . Then $<$ is a linear ordering on B_n compatible with multiplication on the left.

An intrinsic construction of the braid ordering

↪ Intrinsic construction of the previous braid ordering? (not appealing to \mathbf{D})

• ∂ = shift endomorphism of B_∞ , i.e., $\partial : \sigma_i \mapsto \sigma_{i+1}$ for each i .

• Def.– A braid b is σ_1 -positive if, among all possible expressions of b , there is at least one in which σ_1 occurs, but σ_1^{-1} does not. A braid b is σ -positive if it is $\partial^k b_0$ for some σ_1 -positive braid b_0 .

↪ Example: $\sigma_1 \sigma_2 \sigma_1^{-1}$ is σ_1 -positive: $\sigma_1 \sigma_2 \sigma_1^{-1} = \sigma_2^{-1} \sigma_1 \sigma_2$: one σ_1 , no σ_1^{-1} .

• Proposition.- The relation “ $b_1^{-1} b_2$ is σ -positive” is a linear ordering on B_n , and it coincides with the ordering coming from \mathbf{D} .

↪ Two points to prove (and we shall do it using colourings):

• **Property A:** A σ_1 -positive braid is not trivial;

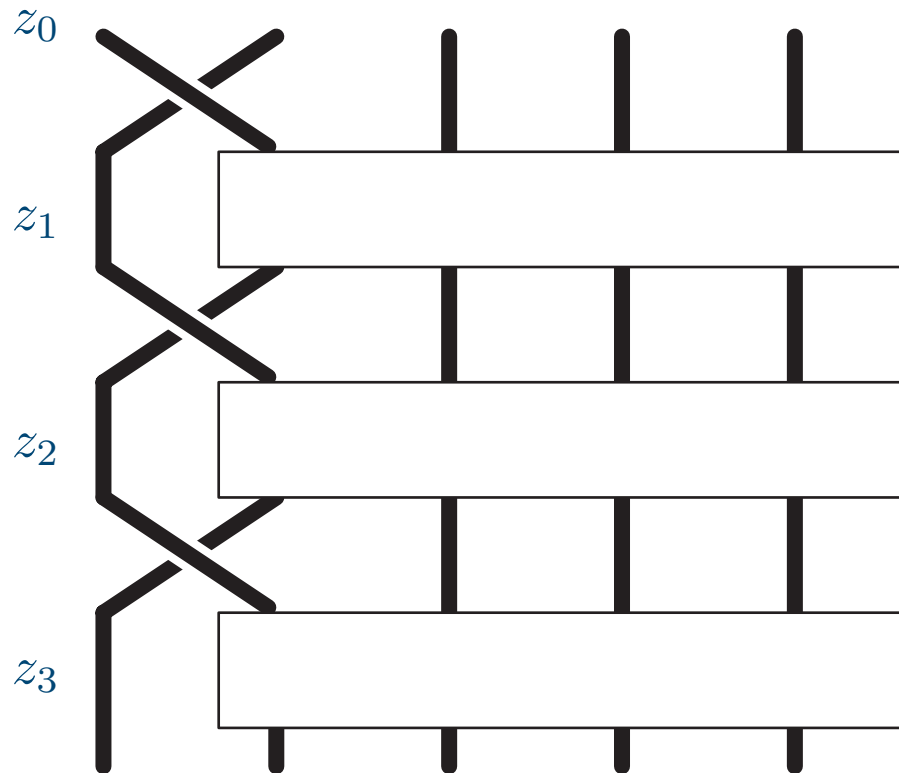
• **Property C:** Every braid is σ_1 -positive, or σ_1 -negative, or σ_1 -free.

(b is σ_1 -negative = b^{-1} is σ_1 -positive; b is σ_1 -free = b belongs to the image of ∂)

Proof of Property A

Consider a σ_1 -positive diagram (want to prove it does not represent 1)

\rightsquigarrow put colours from \mathbf{D} :

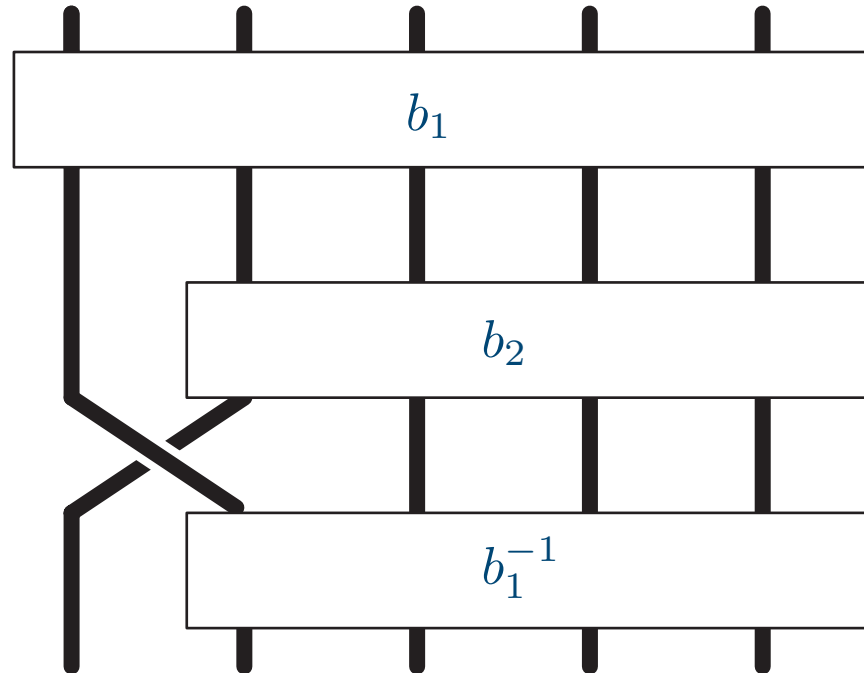


By construction: $z_0 \sqsubset z_1 \sqsubset z_2 \sqsubset \dots$, hence $z_p \neq z_0$.

(Recall: $z \sqsubset z'$ is the transitive closure of $(\exists y)(z' = z * y)$)

A self-distributive operation on braids

- Def.- For b_1, b_2 in B_∞ , define $b_1 * b_2 = b_1 \cdot \partial b_2 \cdot \sigma_1 \cdot \partial b_1^{-1}$.



↪ Example: $1 * 1 = \sigma_1$, $1 * (1 * 1) = \sigma_2 \sigma_1$, $(1 * 1) * 1 = \sigma_1^2 \sigma_2^{-1}$, ...

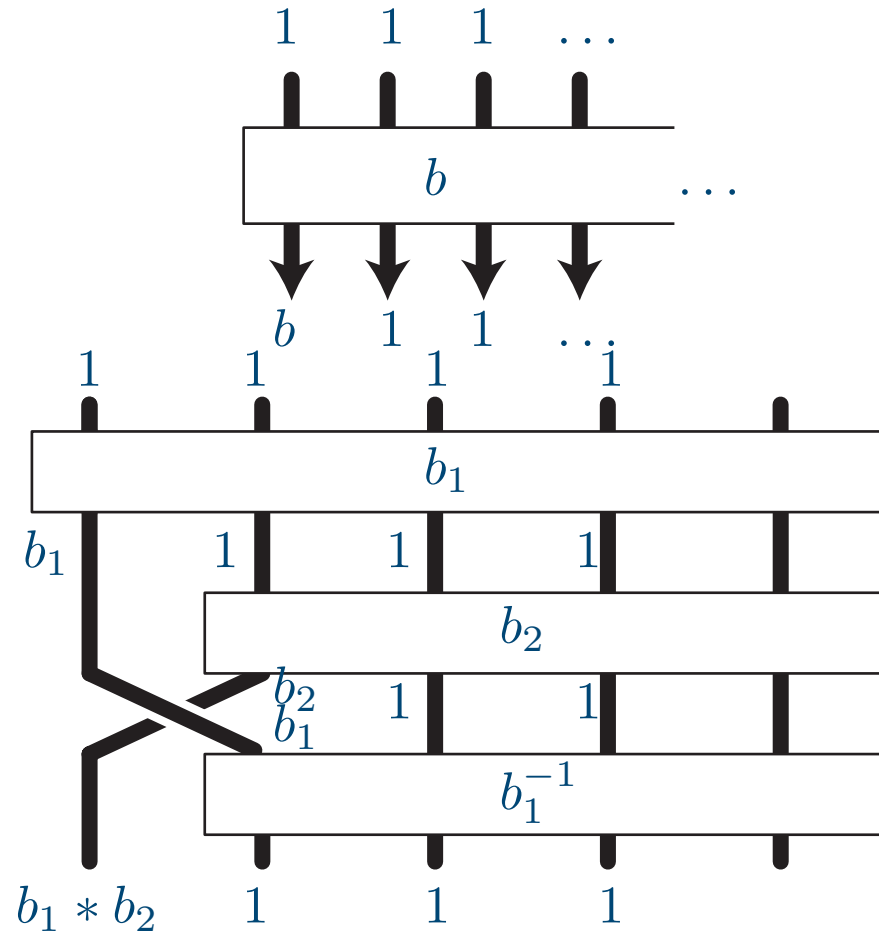
- Fact.- $(B_\infty, *)$ is a left cancellative LD-system.

↪ One can use $B_\infty, *$ to colour braids.

Special braids

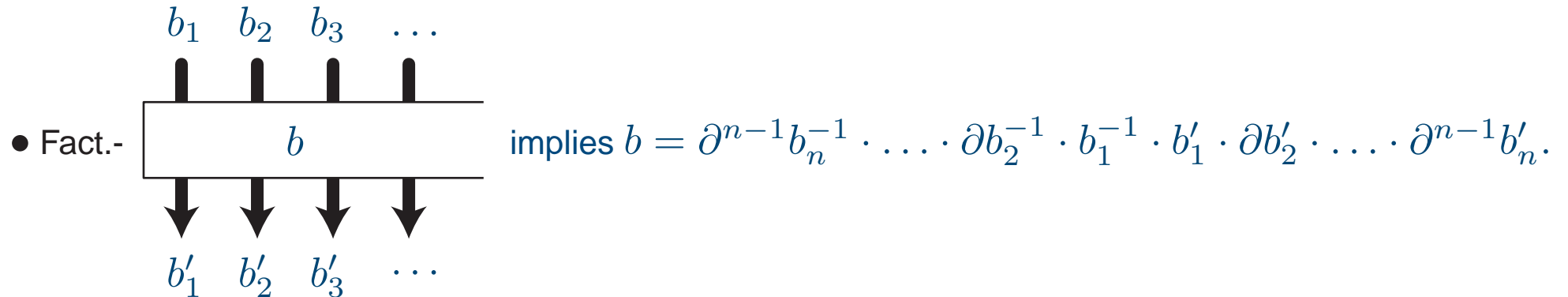
- Def.- A braid b is called **special** if it belongs to the closure of $\{1\}$ under $*$.
- Fact.- For b special, $(1, 1, \dots) \bullet b = (b, 1, 1, \dots)$ (“special braids are self-colouring”).

Inductive proof:



Proof of Property C

(Property C : every braid is σ_1 -positive, σ_1 -negative or σ_1 -free)



\rightsquigarrow Every braid b in B_n admits a decomposition

$$b = \partial^{n-1} b_n^{-1} \cdot \dots \cdot \partial b_2^{-1} \cdot b_1^{-1} \cdot b'_1 \cdot \partial b'_2 \cdot \dots \cdot \partial^{n-1} b'_n$$

where $b_1, \dots, b_n, b'_1, \dots, b'_n$ are special.

• Fact.- If b, b' are special braids, then $b^{-1}b'$ is either σ_1 -positive, or σ_1 -negative, or equal to 1.
(easy from properties of \mathbf{D} and $*$: $b' = b * x$ implies $b^{-1}b' = \partial(x) \cdot \sigma_1 \cdot \partial(b^{-1})$.)

\rightsquigarrow Property C (other proofs known, but none much easier).

A few open questions

- Property S

A non-trivial property of the braid ordering: For every braid b , one has $b\sigma_i > b$ for each i .

↪ Question.— Is there a natural proof of Property S based on diagram colourings?

- Handle reduction

An efficient solution to the isotopy problem of braids: A σ_i -handle is a braid word of the form $\sigma_i^e w \sigma_i^{-e}$ with $e = \pm 1$ and w containing no $\sigma_j^{\pm 1}$ with $j \leq i$ and, in addition, not containing both σ_{i+1} and σ_{i+1}^{-1} .

Reducing a handle means deleting the initial and final σ_i^e and substituting each σ_{i+1}^d with $\sigma_{i+1}^{-e} \sigma_i^d \sigma_{i+1}^e$.

The braid ordering forces convergence (and practical efficiency), but

↪ Question.— What is the complexity of handle reduction?

- Special braids (those that can be obtained from 1 using $x * y = x \cdot \partial(y) \cdot \sigma_1 \cdot \partial(x^{-1})$)

↪ Question.— How many special braids lie in B_n ?

A few open questions (cont'd)

- Twisted conjugacy

The self-distributive operation $*$ on B_∞ is a twisted version of conjugacy.

↪ Question.— Can one replace the standard conjugacy operation with its twisted version involving $*$ in the design of braid-based cryptosystems?

↪ Question.— Is there an algorithm deciding whether two braids b, b' are twisted-conjugate?

↪ Question.— Is there a constructive way to recover b from $b * 1$?

- Arbitrary LD-systems

↪ Question.— Can one use arbitrary left cancellative LD-systems, in particular those that are not racks, to colour links diagrams?

↪ Question.— Can one use arbitrary LD-systems, in particular those that are not left cancellative, to colour braid (or link) diagrams?

Thompson's braid group

- ↪ A new (seemingly very interesting) group that extends both Artin's group B_∞ and **Richard Thompson's** group F .

$$F = \langle a_1, a_2, \dots; a_i a_j = a_{j+1} a_i \text{ for } j > i \rangle.$$

braid diagrams replaced with tree diagrams;

connected with associativity, and with piecewise linear diffeomorphisms of $(0, 1)$;

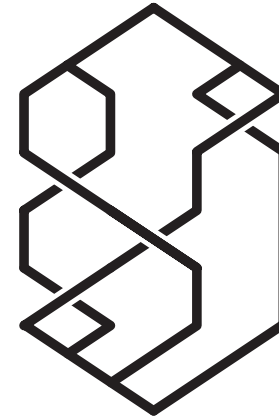
• Def.- $B_T = \left\langle \begin{array}{l} \sigma_1, \sigma_2, \dots \\ a_1, a_2, \dots \end{array} ; \begin{array}{l} \text{Artin's relations} + \sigma_i \sigma_{i+1} a_i = a_{i+1} \sigma_i \\ \text{Thompson's relations} + \sigma_{i+1} \sigma_i a_{i+1} = a_i \sigma_i \end{array} \right\rangle$

↪ includes B_∞ and F ;

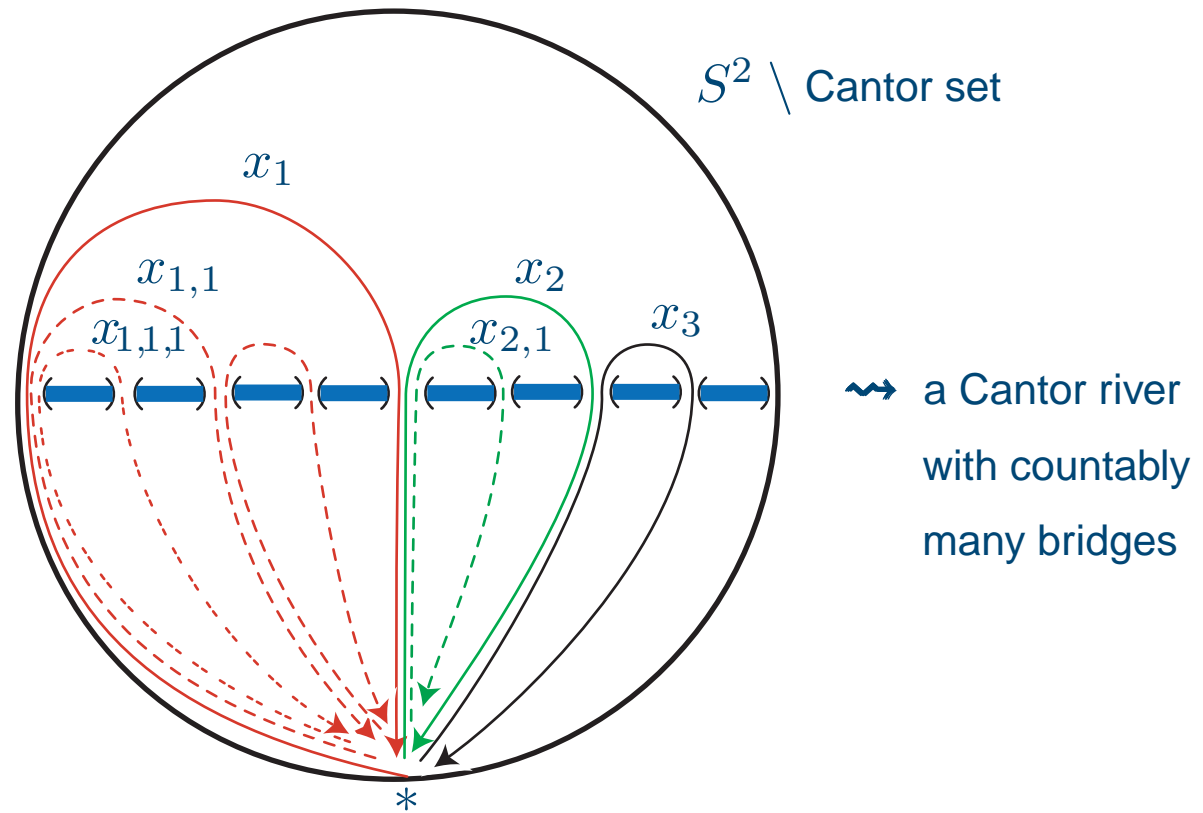
• Def.- For b_1, b_2 in B_T , define $b_1 * b_2 = b_1 \cdot \partial b_2 \cdot \sigma_1 \cdot \partial b_1^{-1}$.

• Fact.- $(B_T, *)$ is a left cancellative LD-system.

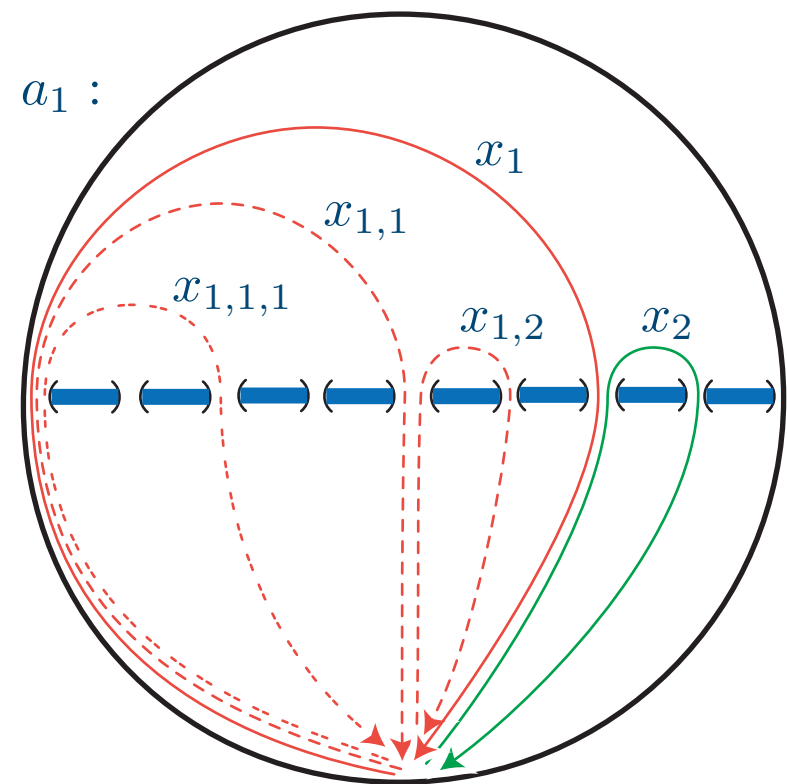
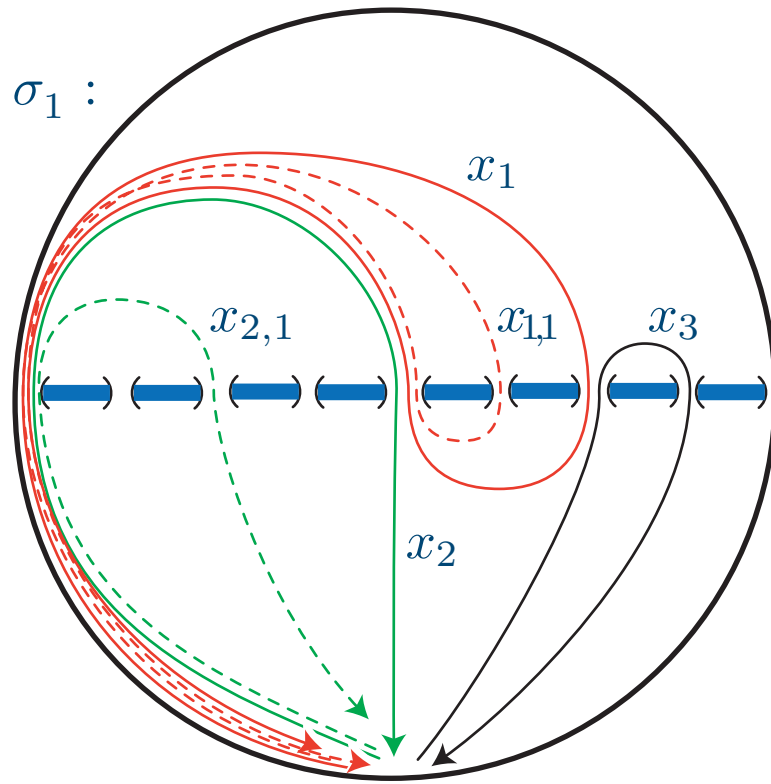
↪ Question.- What can one do with B_T -colourings?
(prove that B_T is orderable)



Thompson's braid group as a mapping class group



Artin representation of B_T



\rightsquigarrow faithful representation of B_T :

- action of σ_1 : $x_1 \mapsto x_1 x_2 x_1^{-1}$, $x_2 \mapsto x_1$, $x_3 \mapsto x_3$.. $x_{1,1} \mapsto x_1 x_{2,1} x_1^{-1}$, $x_{2,1} \mapsto x_{1,1}$..
- action of a_1 : $x_1 \mapsto x_1 x_2$, $x_2 \mapsto x_3$, $x_3 \mapsto x_4$.. $x_{1,1} \mapsto x_1$, $x_{2,1} \mapsto x_{3,1}$..

The Laver tables

↪ A distinguished family of finite LD-systems.

	1	...	N
1	2		
2	3		
...	...		
N-1	N		
N	1		

↪ Construct a left self-distributive operation on $\{1, 2, \dots, N\}$ from

↪ At most one solution,

↪ can be completed for $N = 2^n$ only

↪ A_n , the n th Laver table, a finite LD-system with 2^n elements

				A_3	1	2	3	4	5	6	7	8
				1	2	4	6	8	2	4	6	8
				2	3	4	7	8	3	4	7	8
				3	4	8	4	8	4	8	4	8
				4	5	6	7	8	5	6	7	8
				5	6	8	6	8	6	8	6	8
				6	7	8	7	8	7	8	7	8
				7	8	8	8	8	8	8	8	8
				8	1	2	3	4	5	6	7	8

				A_2	1	2	3	4
				1	2	4	2	4
				2	3	4	3	4
				3	4	4	4	4
				4	1	2	3	4

		A_1	1	2
		1	2	2
		2	1	2

	A_0	1
	1	1

↪ Question.— Can one use the Laver tables to colour diagrams? (enough complicated to be promising)

References

P. D., Braids and Self-Distributivity; Progress in Math. vol. 192, Birkhauser, (2000).

P. D., I. Dynnikov, D. Rolfsen, B. Wiest; Why are braids orderable?; Panoramas & Syntheses vol. 14, Soc. Math. France (2002).