



Braids, self-distributivity and Garside categories

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- The Garside structure of braids is the emerging part of an iceberg: the Garside structure of self-distributivity.

- Revisiting old results of 1985–95 about the self-distributive law in the new context of Garside categories.

Plan :

- The Garside structure of braids
- The normal form in a Garside monoid
- Garside categories
- The category \mathcal{LD}^+ of self-distributivity
- The Embedding Conjecture

The Garside structure of braids

every element of B_n can be expressed as $a^{-1}b$ with a, b in B_n^+



Proposition 1 (Garside, 1967):

- The braid group B_n is a group of fractions for the monoid B_n^+ .



the monoid defined by the presentation...

Proposition 2 (Garside, 1967):

- The monoid B_n^+ is cancellative: $abc = ab'c$ implies $b = b'$;
- It admits gcd's and lcm's w.r.t. divisibility: $a \preceq b$ if $\exists c(ac = b)$;
- Every bounded \preceq -ascending chain is finite;
- The left and right divisors of Δ_n coincide, and generate B_n^+ .



half-turn on n strands: $\Delta_1 = 1$, $\Delta_n = \Delta_{n-1}\sigma_{n-1}\dots\sigma_1$

- Main (?) application of Garside's results: a good normal form.
(Adjan, ElRifai–Morton, Thurston, ...)
- Simple n -braids = divisors of Δ_n (\leftrightarrow permutations of $1, \dots, n$)

• **Lemma:** Every braid a in B_n^+ admits one maximal simple divisor.

- Then ↑
the head $H(a)$ of a , namely $\gcd(a, \Delta_n)$

$$a = H(a) \cdot a' = H(a) \cdot H(a') \cdot a'' = H(a) \cdot H(a') \cdot H(a'') \cdot \dots = \dots$$

- \rightsquigarrow a distinguished decomposition of a in terms of simple braids
(i.e., of permutations): the greedy normal form.
- Extension from B_n^+ to B_n : express an arbitrary braid
 - either as $a^{-1}b$ with a, b positive and left-coprime,
 - or as $\Delta_n^{-k}b$ with b positive not left-divisible by Δ_n ,
 and use the NF of a and b .

- Why is the greedy NF good? Because it is easy:
 - to recognize normal sequences,
 - to compute the NF's of $a\sigma_i$ and $\sigma_i a$ from the NF of a .

• **Proposition 1:** A sequence (a_1, \dots, a_d) of simple n -braids is normal iff, for each $r < d$, the subsequence (a_r, a_{r+1}) is normal.

- **Proof:** Assume (a_1, a_2) and (a_2, a_3) normal.

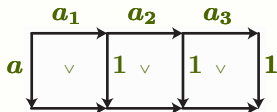
Want: (a_1, a_2, a_3) is normal, i.e., $a_1 = H(a_1 a_2 a_3)$ or, equivalently,

$$a \text{ simple} \preceq a_1 a_2 a_3 \implies a \preceq a_1.$$

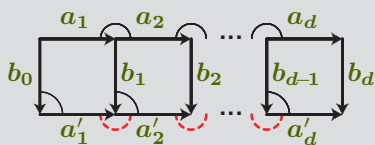
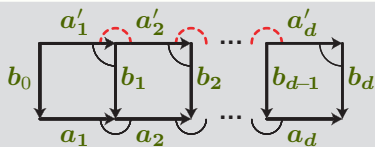
Assume $aa' = \text{lcm}(a, a_1)$. Then, for each x ,

$$a \preceq a_1 x \iff \text{lcm}(a, a_1 x) = a_1 x \iff a' \preceq x.$$

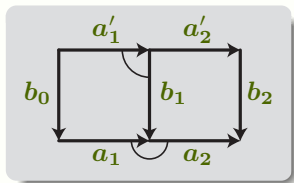
So $a \preceq a_1 a_2 a_3 \iff a' \preceq a_2 a_3 \implies a' \preceq a_2 \iff a \preceq a_1 a_2 \implies a \preceq a_1$. \square



- Proposition 2:** Assume $\text{NF}(a) = (a_1, \dots, a_d)$ and b is simple. Then
 - $\text{NF}(ba) = (a'_1, \dots, a'_d, b_d)$,
 where $b_0 = b$ and $(a'_r, b_r) = \text{NF}(b_{r-1}a_r)$ for $r \geq 1$,
 - $\text{NF}(ab) = (b_0, a'_1, \dots, a'_d)$,
 where $b_d = b$ and $(b_{r-1}, a'_r) = \text{NF}(a_r b_r)$ for $r \geq 1$.



Proof for multiplication on the left



- Want: (a'_1, a'_2) is normal.

Assume $a \preccurlyeq a'_1 a'_2$.

Then $a \preccurlyeq a'_1 a'_2 b_2 = b_0 a_1 a_2$.

Assume $aa' = \text{lcm}(a, b_0)$.

Then (as before) $a' \preccurlyeq a_1 a_2$.

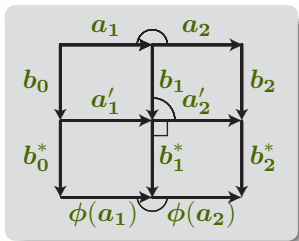
As (a_1, a_2) is normal, $a' \preccurlyeq a_1$.

Hence $a \preccurlyeq b_0 a_1 = a'_1 b_1$.

As (a'_1, b_1) is normal, $a \preccurlyeq a'_1$.

□

Proof for multiplying on the right



- Want: (a'_1, a'_2) is normal.

For x simple, introduce x^* s.t. $xx^* = \Delta$, and let $\phi(x) = x^{**}$.

Then $x\Delta = \Delta\phi(x)$, and ϕ is an automorphism.

Hence $(\phi(a_1), \phi(a_2))$ is normal.

Next (b_1, a'_2) normal $\Rightarrow \gcd(b_1^*, a'_2) = 1$ (actually \Leftrightarrow).

Assume $a \preceq a'_1 a'_2$.

Then $a \preceq a'_1 a'_2 b_2^* = b_0^* \phi(a_1) \phi(a_2)$.

Hence (same argument as before) $a \preceq b_0^* \phi(a_1) = a'_1 b_1^*$.

Hence $a \preceq \gcd(a'_1 b_1^*, a'_1 a'_2) = a'_1$.



- Does not work only for B_n , B_n^+ , and Δ_n (D.-Paris '97, ...)

- Definition: (M, Δ) is a **left-Garside** monoid if
 - M is a left cancellative monoid,
 - M admits right lcm's and left gcd's,
 - every bounded \prec -ascending sequence is finite,
 - Δ belongs to M , the left divisors of Δ generate M and include the right divisors of Δ (equivalently: are closed under \setminus).
- (M, Δ) is **regular** if, in addition,
 - ϕ preserves normality: (a_1, a_2) normal $\Rightarrow (\phi(a_1), \phi(a_2))$ normal.

↑
where $aa^* = \Delta$ and $\phi(a) = a^{**}$

- Then the construction of the greedy NF extends:
 - Prop. 1 and 2 hold for every left-Garside monoid;
 - Prop. 3 holds for every regular left-Garside monoid.

↔ bi-automatic structure when finitely many simples

- **Theorem (Garside, 1967)** (B_n^+, Δ_n) is a Garside monoid.

↑
left-Garside and right-Garside with the same Δ

- Many other examples:
 - Spherical Artin–Tits groups (Charney),
 - Dual monoids (Birman–Ko–Lee, Bessis, ...),
 - Certain complex reflection groups (Bessis, Corran, ...),
 - Free groups (Bessis, Brady–Crisp–Kaul–McCammond, ...),
 - Many more... (Picantin, Krammer, ...).
- Many questions:
 - Conjugacy problem (Gonzalez-Meneses, Gebhardt, Lee–Lee),
 - Properties of roots (Sibert, Gonzalez-Meneses, Lee–Lee),
 - Other normal forms (Burckel, Fromentin, ...).

- Replace monoids with **categories**:
 (Krammer, Digne–Michel, Bessis, 2005-6)
 implicit in (Deligne, 1971), maybe in (D., ~1990).
- Principle: Keep the diagrams, but add **objects**:
 - call the elements of the monoid **morphisms**,
 - attach a **source** $\partial_0 f$ and a **target** $\partial_1 f$ with every morphism f .



- Benefit:
 - a partial product: fg exists only if $\partial_1 f = \partial_0 g$,
 - a **local** notion of simple: for each object x , the simples at x .
- Of course: monoid = category with one object.

- Definition: (\mathcal{C}, Δ) is a **left-Garside** category if
 - \mathcal{C} is a category and $\mathcal{H}om(\mathcal{C})$ is left-cancellative,
 - any two morphisms with the same source have a right lcm,
 - every \prec -ascending sequence in $\mathbf{Div}(f)$ (left-divisors of f) is finite,
 - Δ maps $\mathbf{Obj}(\mathcal{C})$ to $\mathcal{H}om(\mathcal{C})$ so that $\Delta(x) \in \mathbf{Hom}(x, -)$,
 every nontrivial element of $\mathbf{Hom}(x, -)$ is left-divisible by a nontrivial left-divisor of $\Delta(x)$, and every right-divisor of $\Delta(x)$ is simple.

↑
simple at x

- If (\mathcal{C}, Δ) is weakly left-Garside, there is a (unique) functor ϕ s.t.

$$\phi(x) = \partial_1 \Delta(x), \quad f \Delta(y) = \Delta(x) \phi(f) \text{ for } f : x \rightarrow y.$$
 (so Δ is a natural transformation of id to ϕ)

- Definition: (\mathcal{C}, Δ) is **regular** if, moreover, ϕ preserves normality.

- **Garside** = left-Garside + right-Garside with the **same** Δ .
- **Examples:**
 - For M a (left)-Garside monoid:

$$\text{Obj}(\mathcal{C}_M) = \{1\}, \text{Hom}(\mathcal{C}_M) = \{1\} \times M \times \{1\}, \Delta(1) = \Delta.$$

or

$$\text{Obj}(\tilde{\mathcal{C}}_M) = M, \text{Hom}(\tilde{\mathcal{C}}_M) = \{(a, b, c) \mid ab = c\}, \Delta(1) = \Delta.$$

- (**Krammer**) MCG's of disks with punctures on the boundary,
- (**Godelle**) Ribbon categories,
- (**Digne–Michel**) Conjugacy categories,
- (**Bessis**) Divided categories,
- Braid category \mathcal{B}^+

$$\text{Obj}(\mathcal{B}^+) = \mathbb{Z}_+, \text{Hom}(\mathcal{B}^+) = \{(n, a, n) \mid a \in B_n^+\}, \Delta(n) = \Delta_n.$$

or

$$\text{Obj}(\tilde{\mathcal{B}}^+) = \text{Seq}(\mathbb{N}), \text{Hom}(\tilde{\mathcal{B}}^+) = \{(s, a, s.a) \mid a \in B_n^+\}, \Delta(s) = \Delta_{|s|}.$$

- **Self-distributivity.**

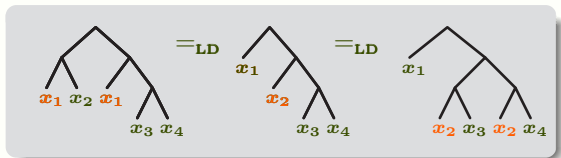
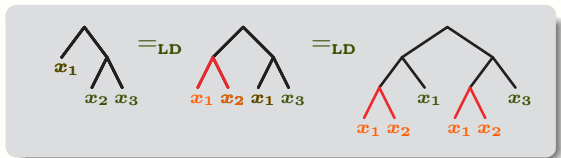
- The left **self-distributive** law **LD**:

$$x(yz) = (xy)(xz).$$

- Examples of LD-systems:

- $x * y = f(y)$, in any set,
- $x * y = xyx^{-1}$, in a group,
- $x * y = (1 - t)x + ty$, in a $\mathbb{Z}[t]$ -module,
- $x * y = x$ applied to y , elementary embeddings
(cannot be proved to exist: large cardinal required),
- $x * y = x \text{sh}(y) \sigma_1 \text{sh}(x)^{-1}$, in B_∞ with $\text{sh}(\sigma_i) = \sigma_{i+1}$,
- Laver tables (an inverse system consisting of LD-systems with 1, 2, 4, 8, ... elements),
- Free LD-systems.

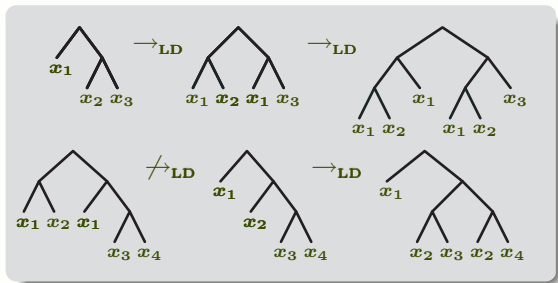
- More examples of LD-equivalences:



... and everything obtained by substituting variables with terms.

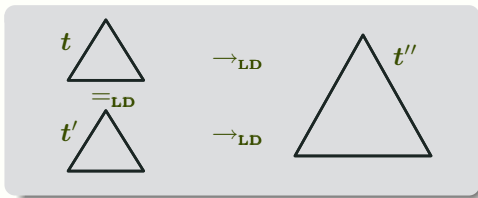
- How to study the relation $=_{LD}$ (a very complicated object) ?
Orientate it. \simeq Garside: study B_n by considering B_n^+

• **Definition:** t' is an **LD-expansion** of t , denoted $t \rightarrow_{LD} t'$, if t' can be obtained from t by applying **LD** in the expanding direction only.



- Clearly, $=_{LD}$ is generated by \rightarrow_{LD} :
 $t =_{LD} t'$ holds iff there exists a \rightarrow_{LD} -zigzag from t to t' .

Theorem (D., '86) Two terms are LD-equivalent iff they admit a common LD-expansion.

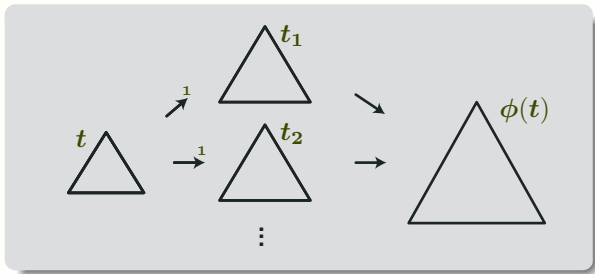


Corollary ('91) Braid groups are orderable.

- **Proof of Corollary:** - The free LD-system of rank 1 is orderable, because any two terms of T_1 are comparable w.r.t. the relation "being LD-equivalent to an iterated left subterm of";
- Then use the latter LD-system to color the strands of braids. \square

- How to prove the Confluence Theorem?

- Main Lemma:** For each t , there exists an LD-expansion $\phi(t)$ of t that is a common LD-expansion of every atomic LD-expansion of t ; moreover $t \rightarrow_{\text{LD}} t'$ implies $\phi(t) \rightarrow_{\text{LD}} \phi(t')$.



- From there: $\phi^d(t)$ is a common LD-expansion of all degree d LD-expansions of t . \square

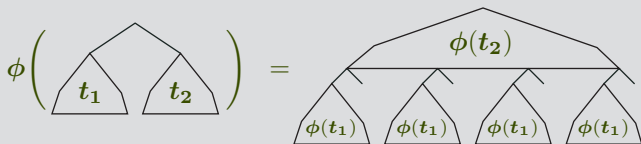
The fundamental expansion $\phi(t)$

- Inductive construction of $\phi(t)$:

$$\phi(x_i) = x_i, \quad \phi(t_1 * t_2) = \phi(t_1) \circledast \phi(t_2),$$

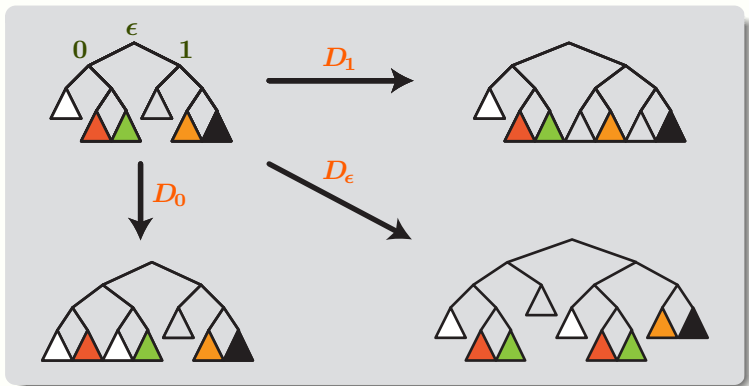
where \circledast means “distribute once everywhere”:

$$t \circledast x = t * x, \quad t \circledast (t_1 * t_2) = (t \circledast t_1) * (t \circledast t_2).$$



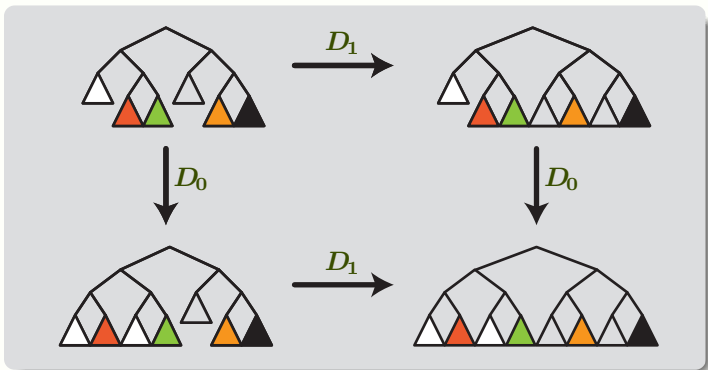
- Is there a left-Garside category here?
- Natural candidate: **graph** of the LD-expansion relation:
 - **Definition:** The category \mathcal{LD}_0^+
 $Obj(\mathcal{LD}_0^+) := \{ \text{terms} \},$
 $Hom(\mathcal{LD}^+) := \{ (t, t') \mid t \rightarrow_{LD} t' \}.$
- **Simples at t** = all LD-expansions of t between t and $\phi(t)$.
- **Least common multiple** = least common LD-expansion (??),
 \rightsquigarrow Problem: Not proved to exist...
- **Solution:** Control LD-expansions better:
 \rightsquigarrow Take into account the position where LD is applied.

- Attach a label to each atomic LD-expansion:
 - an **address** specifying where LD is applied
 - ↪ a sequence of 0's and 1's describing the path from the root



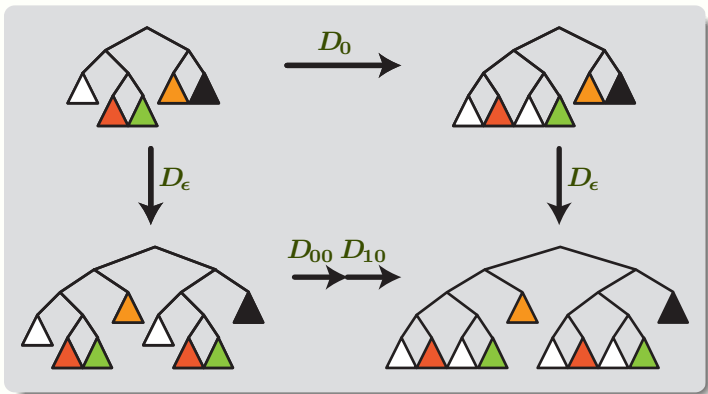
LD-relations (1): parallel case

- There are natural **relations** between the various D_α -expansions.
↑
those coming from (allegedly) least common LD-expansions



- More generally:

$$D_\alpha D_\beta = D_\beta D_\alpha \text{ when } \alpha, \beta \text{ are parallel.}$$



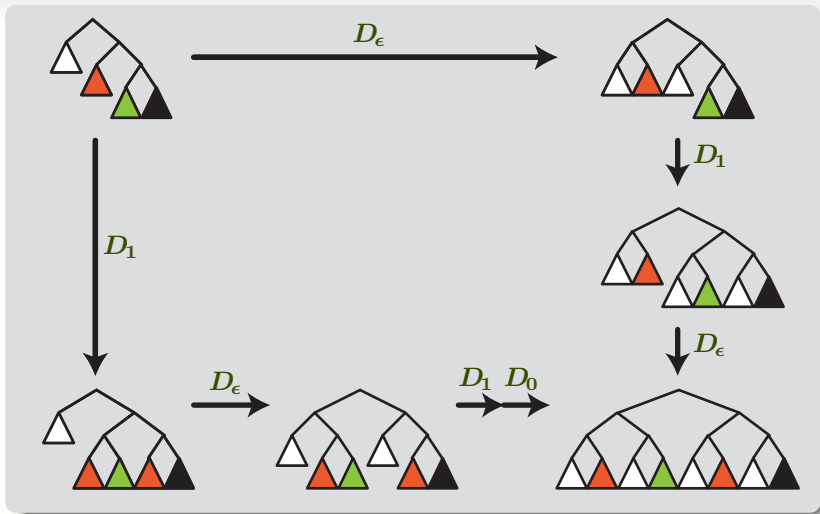
- More generally:

$$D_{\alpha 0 \beta} D_\alpha = D_\alpha D_{\alpha 0 0 \beta} D_{\alpha 1 0 \beta},$$

$$D_{\alpha 1 0 \beta} D_\alpha = D_\alpha D_{\alpha 0 1 \beta},$$

$$D_{\alpha 1 1 \beta} D_\alpha = D_\alpha D_{\alpha 1 1 \beta}.$$

LD-relations (3): critical case



- More generally:

$$D_\alpha D_{\alpha_1} D_\alpha = D_{\alpha_1} D_\alpha D_{\alpha_1} D_{\alpha_0}$$

Theorem: The category \mathcal{LD}^+ is left-Garside, and there is a projection of \mathcal{LD}^+ onto \mathcal{B}^+ that preserves the Garside structures.

↑
a surjective lcm-functor

Conjecture: The category left-Garside \mathcal{LD}^+ is regular.

- What we shall do:
 - 1. Explain the connection with braids.
 - 2. Explain why \mathcal{LD}^+ is left cancellative and admits lcm's (plus the chain condition);
 - 3. Describe the Δ ;
 - 4. Discuss the conjecture.

- Put $\pi(t) :=$ length of the rightmost branch in t ,
- $\pi(D_\alpha) := \begin{cases} \sigma_i & \text{for } \alpha = 11\dots 1, i-1 \text{ times } 1, \\ 1 & \text{if } \alpha \text{ contains at least one } 0. \end{cases}$

$$\pi \left(\text{tree}_1, D_\epsilon D_0, \text{tree}_2 \right) = (2, \sigma_1, 2).$$

(Note: tree₁ is a tree with root and two children; tree₂ is a tree with root, two children, and each child has two children.)

Proposition 1: π is an lcm-functor of \mathcal{LD}^+ onto \mathcal{B}^+ .

- Proof:** $\pi(\text{LD-relations}) \subseteq$ braid relations:

$$\begin{aligned} \pi(D_\alpha D_{\alpha 11\beta} = D_{\alpha 11\beta} D_\alpha) &= (\sigma_i \sigma_{i+2+j} = \sigma_{i+2+j} \sigma_i), \\ \pi(D_\alpha D_{\alpha 1} D_\alpha = D_{\alpha 1} D_\alpha D_{\alpha 1} D_{\alpha 0}) &= (\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}). \quad \square \end{aligned}$$

- Also: $\tilde{\pi} : \widetilde{\mathcal{LD}}^+ \twoheadrightarrow \widetilde{\mathcal{B}}^+$ with $\tilde{\pi}(t) =$ names of subright variables.

$$\tilde{\pi} \left(\text{tree}_1, D_\epsilon D_0, \text{tree}_2 \right) = ((1, 3), \sigma_1, (3, 1)).$$

(Note: tree₁ has subright variables x₁, x₂, x₃, x₄; tree₂ has subright variables x₁x₂x₁x₃, x₁x₄.)

- **Proposition 2:** \mathcal{LD}^+ is left cancellative, and morphisms of $\text{Hom}(t, -)$ with a common right multiple admit a right lcm.

(essentially, properties of the monoid \mathbf{LD}^+)

- **Proof:** The presentation of \mathbf{LD}^+ is such that:

For each pair of generators D_α, D_β , there exists

one relation of the form $D_\alpha \dots = D_\beta \dots$ in the presentation.

For such presentations, there exists an effective criterion to decide whether the monoid is left cancellative and admits a right lcm when a common multiple exists: “**completeness of right reversing**”

Here—as well as for B_n^+ —the criterion works. \square

- For \prec -ascending sequences:

Every chain from t to t' has length $\leq \text{size}(t') - \text{size}(t)$.

- How to define Δ , i.e., how to define **simples at t** ?
 \rightsquigarrow Use $\phi(t)$: the “fundamental expansion of t ”,
 expanding all atomic expansions of t
- Define Δ_t in LD^+ as a distinguished way to expand t into $\phi(t)$
 following the inductive construction of ϕ :

$$\Delta_t = \begin{cases} 1 & \text{for } t = x, \text{ (size 1 term)} \\ \dots \Delta_{t_1} \dots \Delta_{t_2} \dots & \text{for } t = t_1 * t_2. \end{cases}$$

and then put

$$\Delta(t) = (t, \Delta_t, \phi(t)).$$

- Example:

$$\Delta(\lambda) = \left(\begin{array}{c} \diagup \diagdown \\ \color{red}{1} \quad \color{red}{2} \\ \color{red}{3} \quad \color{red}{4} \end{array}, D_\epsilon D_1 D_\epsilon, \begin{array}{c} \diagup \quad \diagdown \\ \color{red}{1} \quad \color{red}{2} \quad \color{red}{1} \quad \color{red}{3} \quad \color{red}{1} \quad \color{red}{2} \quad \color{red}{1} \quad \color{red}{4} \end{array} \right) \begin{array}{l} \xrightarrow{\pi} (\mathbf{3}, \sigma_1 \sigma_2 \sigma_1, \mathbf{3}) \\ \xrightarrow{\tilde{\pi}} ((\mathbf{1,2,3}), \sigma_1 \sigma_2 \sigma_1, (\mathbf{3,2,1})) \end{array}$$

- **Proposition 3:** (i) If $t \cdot D_\alpha$ exists, then D_α left-divides Δ_t .
- (ii) Right-divisors of Δ_t are simple,
- (iii) Common right-multiples always exist in $\text{Hom}(t, -)$.

• **Proof:** LD-Relations imply (i), and (ii) implies (iii).

For (ii), remember: a braid a is simple

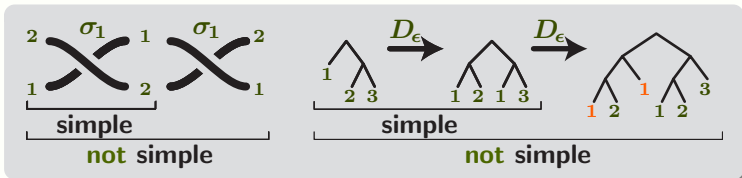
iff $\exists!$ an expression $a = \prod_i^< \sigma_{i,e_i}$, with $\sigma_{i,e} = \sigma_i \sigma_{i+1} \dots \sigma_{i+e-1}$,

iff \exists a diagram of a in which any two strands cross at most once.

Here: an LD-expansion a is simple

iff $\exists!$ an expression $a = \prod_\alpha^< D_{\alpha,e_\alpha}$, with $D_{\alpha,e} = D_\alpha D_{\alpha+1} \dots D_{\alpha+e-1}$,

iff \exists a term in the target of a in which no x_i covers itself. \square



- **Conjecture:** The category \mathcal{LD}^+ is regular.

i.e., the functor ϕ preserves normality:

$$(f_1, f_2) \text{ normal} \Rightarrow (\phi(f_1), \phi(f_2)) \text{ normal.}$$

- The main result:

- **Theorem:** If the category \mathcal{LD}^+ is regular,
then the **Embedding Conjecture** is true:
 - The monoid \mathbf{LD}^+ admits right cancellation.
 - Any LD-equivalent terms admit a least common LD-expansion.
 - For all terms t, t' , the cardinality of $\mathbf{Hom}_{\mathcal{LD}^+}(t, t')$ is at most **1**.
 - The category \mathcal{LD}^+ is isomorphic to \mathcal{LD}_0^+ (graph of LD-expansion).

- **Proof of the theorem:** uses most known results about LD.
(in particular that ϕ is injective on objects and simple morphisms)
- **A possible attack to the Regularity Conjecture:**
 - Enough to show: ϕ preserves left-coprimeness of simples.
 - A fortiori, enough to show for a, b simple:

$$\phi(\gcd(a, b)) = \gcd(\phi(a), \phi(b)).$$

- **Simples admit unique** expressions (“permutation-expansions”):

$$a = \prod_{\alpha \text{ address}}^< \underbrace{D_\alpha D_{\alpha 1} D_{\alpha 1 1} \dots}_{e_\alpha \text{ factors}}.$$

- Use the sequence of e_α 's as coordinates for a and find explicit formulas for the coordinates of $\phi(a)$ and $\gcd(a, b)$.
—not so easy, even for braids...

- Originally (1991): Solve the **word problem** of LD.
(= find an algorithm that recognizes whether $t =_{LD} t'$ is true)
an unprovable set-theoretical assumption



Theorem (Laver, 1989): If there exists a self-similar rank,
then the word problem of LD is decidable.

The point: one needs an **orderable** LD-system.

Set Theory provides a hypothetic orderable LD-system.

The Garside structure of \mathcal{LD}^+ shows free LD-systems are orderable.
(+ braid applications)

- Now: shorter proofs of braid acyclicity (**Larue, Dynnikov**)
provide shorter proofs for the orderability of free LD-systems.
- What remains? The Garside structure of \mathcal{LD}^+ as an **explanation**
for the Garside structure of braids (“ π is an lcm-functor”).
- At least: an example of a left-Garside, non-Garside structure.

- Everything is similar when **associativity** replaces selfdistributivity:

$$x(yz) = (xy)z$$

- **A**-expansion: replace $t_1 * (t_2 * t_3)$ with $(t_1 * t_2) * t_3$;
- monoid \mathbf{A}^+ : generated by all A_α with **A**-relations (**MacLane**);
- category \mathcal{A}^+ : $\mathcal{H}om(\mathcal{A}^+) = \{(t, g, t') \mid g \in \mathbf{A}^+ \text{ and } t \bullet g = t'\}$.

- Good news: There is a Garside structure.

- Least common **A**-expansions exist: the **Tamari** lattice;
- The monoid \mathbf{A}^+ is Garside; its group of fract. is **Thompson's** group F ;
- The category \mathcal{A}^+ is left-Garside (and right-Garside).

- Bad news: The Garside structure is **trivial**.

- The category \mathcal{A}^+ is **not** Garside.
- ϕ is **constant** on terms of a given size: $\phi(t) = \hat{\wedge}$ for $\text{size}(t) = 4$.
- Every morphism is simple.

- P. Dehornoy, Braids and Self-distributivity,
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