

## Garside families and germs

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## • General principle:

"Garside families give all results about Garside groups at no extra cost."

• Here: two ways of characterizing Garside families:

- (extrinsic) recognizing that a subfamily of a category is a Garside family,
- (intrinsic) recognizing that a family generates a category

in which it embeds as a Garside family.

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• Text in progress, joint with F.Digne, E.Godelle, D.Krammer, J.Michel: www.math.unicaen/∼dehornoy/Books/Garside.pdf • Definition – A category is a monoid with a partial product, namely two families  $C$  and  $Obj(C)$ , plus two maps, source and target, of  $C$  to  $Obj(C)$ , plus a partial associative product:  $fg$  exists iff target( $f$ ) = source( $g$ ), plus a identity-element  $1_x$  for each object x.

• Viewing elements as morphisms :  $x \xrightarrow{f} y \xrightarrow{g} z$ .

• Definition.— A category is left-cancellative if  $fg = fg'$  implies  $g = g'$ .

P1 • Lemma – If C is left-cancellative, an element g of C has a left-inverse  $(\exists f (fg = 1_y))$  iff it has a right-inverse  $(\exists f (gf = 1_x))$ .

• Notation.—  $\mathcal{C}^{\times}$  := all invertible elements of  $\mathcal{C}$  (=1 $_{\mathcal{C}}$  if no nontrivial invertible elements), For  $S \subseteq \mathcal{C}$ ,  $S^{\sharp} := SC^{\times} \cup C^{\times} =$  closure of S under right-multiplication by invertible elements ( =  $S \cup 1_C$  if no nontrivial invertible elements).

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• Definition – For f, g in a category C, say that f left-divides g,
        or g is a right-multiple of f, denoted f \preccurlyeq g, if fg' = g for some g'.
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• Viewing elements as morphisms :



• Notation.—  $g = x'$  if  $\exists e \in C^{\times}$   $(g' = ge)$ .

P2 • Lemma.— If C is left-cancellative,  $\preccurlyeq$  is a partial preordering on C, and the conjunction of  $g \preccurlyeq g'$  and  $g' \preccurlyeq g$  is equivalent to  $g = \searrow g'$ . • Definition.— Assume C left-cancellative and  $S \subseteq \mathcal{C}$ . For  $g_1, g_2$  in C, say that  $(g_1, g_2)$  is S-greedy if  $g_1g_2$  is defined and  $\forall h \in S \; \forall f \in C \; (h \preccurlyeq fg_1g_2 \Rightarrow h \preccurlyeq fg_1).$ Say that  $(g_1, \ldots, g_p)$  is  $\mathcal S\text{-greedy}$  if  $(g_i, g_{i+1})$  is  $\mathcal S\text{-greedy}$  for every  $i.$ 



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f \downarrow \qquad \qquad \overbrace{\underbrace{\qquad \qquad }_{g_1} \qquad \qquad }^{h \in \mathcal{S}} \qquad \qquad \overbrace{\qquad \qquad }_{g_2}
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• In particular,  $(g_1, g_2)$  S-greedy implies  $\forall h \in S$  ( $h \preccurlyeq g_1 g_2 \Rightarrow h \preccurlyeq g_1$ ).

• Example.— Monoid  $(\mathbb{N}^n, +)$ . Then  $f \preccurlyeq g$  iff  $\forall i \leq n$   $(f(i) \leq g(i))$ . - Let  $S = \{f \mid \forall i (f(i) \leq 1)\}.$ - Then  $(g_1, g_2)$  is S-greedy iff  $\forall i$   $(h(i) \leq f(i) + g_1(i) + g_2(i) \Rightarrow h(i) \leq f(i) + g_1(i)$ iff  $\forall i$  ( $g_1(i) = 0 \Rightarrow g_2(i) = 0$ ).

P3 • Lemma — S-greedy 
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 S<sup>‡</sup>-greedy.

• Definition —  $X$  closed under right-complement if  $\forall f, g \in \mathcal{X} \ \forall h \in \mathcal{C} \ (f, g \preccurlyeq h \Rightarrow \exists f', g' \in \mathcal{X} \ (fg' = gf' \preccurlyeq h)).$ 



P4 • Lemma.— Assume  $C$  is left-cancellative, and  $S^{\sharp}$  generates  $C$  and is closed under right-complement. Then  $(g_1, g_2)$  is S-greedy iff  $\forall h \in S$   $(h \preccurlyeq g_1 g_2 \Rightarrow h \preccurlyeq g_1)$ .

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• Definition — A sequence is *S-normal* if it is *S*-greedy and its entries lie in  $S^{\sharp}$ .

P6 • Proposition.— Assume that C is left-cancellative and  $S \subseteq C$ . Then any two S-normal decompositions of an element  $g$  are  $\mathcal{C}^\times$ -deformations of one another. ↑ a sequence  $(g_1, \ldots, g_p)$ satisfying  $g = g_1 \dots g_p$ ↑ ∃ commutative diagram like that ↓  $g<sub>1</sub>$  $g'_1$  $g<sub>2</sub>$  $g'_2$  $g_q$ g ′ q  $g_{q+1}$  $1_v$  $g_p$  $1<sub>v</sub>$  $e_1$   $e$ −1 1  $e_2$   $e_3$ −1 2  $e_q$   $e$ −1 'q

P5 • Claim. —  $(g_1, ..., g_p)$  S-greedy ⇒  $(g_1, g_2... g_p)$  S-greedy.

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P7 • Corollary.— Assume  $C$  left-cancellative and  $S \subseteq C$ . The number of non-invertible entries in an  $S$ -normal decomposition of an element g does not depend on the decomposition: the S-length  $\|g\|_{\mathcal{S}}$  of g.

P9 • Proposition — Assume  $C$  left-cancellative and  $S \subseteq C$ . Then  $\|g\|_{\mathcal{S}}\leqslant r$  holds for every  $g$  in  $(\mathcal{S}^\sharp)'$  that admits an  $\mathcal{S}$ -normal decomposition.

P8 • Claim.—  $(g_1, g_2, g_3)$  S-greedy ⇒  $(g_1g_2, g_3)$  S<sup>2</sup>-greedy.

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• Definition.— Assume C left-cancellative. A subfamily S of C is a Garside family in C if every element of  $C$  admits an  $S$ -normal decomposition.

- Example.—  $\mathcal C$  is always Garside in  $\mathcal C$ .
	- *S* = {*f* | ∀*i* (*f*(*i*) ≤ 1}} is Garside in ( $\mathbb{N}^n$ , +):<br>the *S*-normal decomposition of *g* is (*g*<sub>1</sub>, *g*<sub>2</sub>, ...) with  $g_k(i) = \begin{cases} 1 & \text{if } g(i) \geq k, \\ 0 & \text{otherwise.} \end{cases}$ 0 otherwise.

P10- If  $(M, \Delta)$  is a Garside monoid, then  $Div(\Delta)$  is a Garside family in M.

 $\begin{matrix} \uparrow \end{matrix}$  -  $M$  is cancellative,

- M admits least common multiples and greatest common divisors,
- there exists  $N : M \to \mathbb{N}$  s.t.  $g \neq 1 \Rightarrow N(g) \geq 1$  and  $N(fg) \geq N(f) + N(g)$ ,  $- Div(\Delta) = Div(\Delta)$ , and generates M.

P14 • Proposition.— A subfamily S of a left-cancellative category C is a Garside family iff  $(*)$   $S^{\sharp}$  generates  $\mathcal C$  and every element of  $(S^{\sharp})^2$  admits an  $\mathcal S$ -normal decomposition.

 $\mathsf{P}11\bullet\mathsf{Claim}\ 1$ .—  $(\texttt{\texttt{*}})\Rightarrow$  every element of  $(\mathcal{S}^\sharp)^2$ admits an  $S$ -normal decomposition of length 2.



P13 • Claim 2.—  $(*)$   $\Rightarrow$  if  $g$  admits an  $\mathcal S$ -normal decomposition of length  $p$  and  $f \in \mathcal S^{\sharp},$ then  $fg$  admits an S-normal decomposition of length  $p + 1$  when defined.

P15 • Scholium.— If S is a Garside family and f right-divides of g, then  $||f||_S \le ||g||_S$ . In particular,  $\mathcal{S}^{\sharp}$  is closed under right-divisor.

• Definition.— Say that  $g_1$  is an S-head of g if  $g_1 \in S$ ,  $g_1 \preccurlyeq g$ , and  $\forall h \in S$  ( $h \preccurlyeq g \Rightarrow h \preccurlyeq g_1$ ).

• Definition.—  $S$  closed under right-comultiple if  $\forall f, g \in S \ \forall h \in C \ (f, g \preccurlyeq h \Rightarrow \exists h' \in S \ (f, g \preccurlyeq h' \preccurlyeq h)).$ 





• Proposition.— A subfamily S of a left-cancellative category C is a Garside family iff (\*\*)  $S^{\sharp}$  generates  $C$ , is closed under right-complement, and every non-invertible element of  $(\mathcal{S}^\sharp)^2$  admits an  $\mathcal{S}\text{-}$ head, or (\*\*bis)  $S^{\sharp}$  generates  $C$ , is closed under right-divisor and right-comultiple, and every element of  $(\mathcal{S}^\sharp)^2$  admits a maximal left-divisor lying in  $\mathcal{S}.$ 

- Want:  $\mathcal S$  Garside  $\Rightarrow$   $\mathcal S^\sharp$  generates  $\mathcal C$ : OK
	- $S^{\sharp}$  closed under right-divisor: OK
	- every element of  $(\mathcal{S}^\sharp)^2$  admits an  $\mathcal{S}\text{-}$ head.
	- $S^{\sharp}$  closed under right-comultiple,
	- $\sim S^{\sharp}$  closed under right-complement,

P16 • Claim 1.—  $g_1 \in S$  and  $(g_1, g_2)$  S-greedy  $\Rightarrow g_1$  is an S-head of  $g_1g_2$ .

P17 • Claim 2.—  $\mathcal S$  Garside  $\Rightarrow$   $\mathcal C^{\times} \mathcal S^{\sharp} \subseteq \mathcal S^{\sharp}.$ 

P18 • Claim 3.— Every element admitting an  $S$ -decomposition admits one where all entries except maybe the last one belong to  $S$ .

P19 • Claim 4.— S Garside  $\Rightarrow S^{\sharp}$  and S closed under right-comultiple.

P20 • Claim 5.— ( $S^{\sharp}$  closed under right-comultiple + under right-divisor)  $\Rightarrow$   $\mathcal{S}^{\sharp}$  closed under right-complement;  $(S^\sharp$  closed under right-complement  $+ \, S^\sharp$  generates  $\mathcal{C}) \Rightarrow S^\sharp$  closed under right-divisor.

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• Want: -  $S^{\sharp}$  generates  $C+$  closed under right-complement  $+$  every element of  $(\mathcal{S}^\sharp)^2$  admits an  $\mathcal{S}\text{-}$ head  $\Rightarrow$   $\mathcal{S}\,$  Garside.

and: -  $\mathcal{S}^{\sharp}$  generates  $\mathcal{C}$   $+$  closed under right-comultiple  $+$  closed under right-divisor  $+$  every element of  $(\mathcal{S}^\sharp)^2$  admits a maximal left-divisor in  $\mathcal{S} \Rightarrow \mathcal{S}$  Garside.

P21 • Claim 1.—  $(\mathcal{S}^\sharp$  closed under right-complement +  $\mathcal{S}^\sharp$  generates  $\mathcal{C}) \Rightarrow$ every element of  $(\mathcal{S}^{\sharp})^2$  admitting an  $\mathcal{S}\text{-}$  head admits an  $\mathcal{S}\text{-}$ normal decomposition.

P22 • Claim 2.—  $S^{\sharp}$  closed under right-comultiple  $\Rightarrow$ a maximal left-divisor in  $S$  is an  $S$ -head.

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P24 • Proposition.— A subfamily S of a left-cancellative right-Noetherian category C is a Garside family iff  ${\cal S}^\sharp$  generates  ${\cal C}$  and is closed under right-divisor and right-comultiple.

• Definition.— A category  $C$  admits right-lcm's if any two elements of  $C$  admit a least upper bound for  $\preccurlyeq$ .

P25 • Corollary. Assume that C is a left-cancellative category C that is right-Noetherian and admits right-lcm's. A subfamily  $S$  of  $C$  is a Garside family iff  $S^{\sharp}$  generates  $\mathcal C$  and is closed under right-divisor and right-lcm.

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• Definition.— A map  $H: \mathcal{X} \subseteq \rightarrow \mathcal{C}$  satisfies the  $\mathcal{H}$ -law if  $H(fg) = X H(fH(g)).$ 

• Proposition.— A subfamily S of a left-cancellative category C is a Garside family iff  $(***)$   $\mathcal{S}^{\sharp}$  generates  $\mathcal{C}.$  and there exists  $H:\mathcal{C}\backslash\mathcal{C}^{\times}\to\mathcal{S}$  such that  $-H(g) \preccurlyeq g$  always holds,  $-f \preccurlyeq g$  implies  $H(f) \preccurlyeq H(g)$ ,  $-g \in S$  implies  $H(g) = x g$ , -  $H$  satisfies the  $H$ -law.

P26 • Proof ( $\Rightarrow$ ): S Garside  $\Rightarrow$  exists H satisfying...: Define  $H(g) = 1$ st entry in an S-normal decomposition of S with 1st entry in S.

• Proof  $(\Leftarrow)$ : H satisfying...  $\Rightarrow$  S Garside:

P27 • Claim  $1 - H(g)$  is an S-head of g.

P28 • Claim 2.—  $S^{\sharp}$  is closed under right-comultiple.

P29• Claim  $3 - S^{\sharp}$  is closed under right-divisor.

• So far: C (the ambient category) is given, and  $S \subseteq \mathcal{C}$ .

• Now:  $S$  is given (no  $C$ ), and look for conditions ensuring that there exists a category C s.t.  $S \hookrightarrow C$  and S is a Garside family in C.

• Analysis: If  $S \subseteq C$ , the operation of C induces a partial operation on S:  $f \bullet g = h$  whenever  $fg = h$  and  $f, g, h \in S$ . ւ "a germ"

 $\rightarrow$  Investigate the structure  $(S, \bullet)$ .

• Lemma.— If S is a subfamily of a left-cancellative category and includes  $1_{\mathcal{S}}$ , then • obeys the rules

(1) If  $f \cdot g$  exists, the target of f is the source of  $g$ ; (2) If  $f \in S(x, y)$ , then  $1_x \cdot f = f = f \cdot 1_y$ ; (3) If  $f \cdot g$  and  $g \cdot h$  exist, then  $f \cdot (g \cdot h)$  exists iff  $(f \cdot g) \cdot h$  does, and then equal. Moreover, if S is closed under right-divisor in  $C$ , then P30 (4) If  $(f \cdot g) \cdot h$  exists, then  $g \cdot h$  does.

• Definition.— A germ is a triple  $(S, 1_S, \bullet)$  where S is a precategory and  $\bullet$  is a partial binary operation on S satisfying  $(1)$ ,  $(2)$ ,  $(3)$ .

- It is called left-associative if, in addition, (4) is satisfied.

• For  $S$  a precategory,  $S^* =$  the free category generated by  $S$  $=$  the category of all S-paths.

• Definition.— For  $\mathcal S$  a germ, the category  $\mathcal{C}\mathit{at}(\mathcal S)$  associated with  $\mathcal S$  is  $\mathcal S^*/\equiv$ where  $\equiv$  is the congruence generated by all relations  $f | g = h$  for  $f \cdot g = h$  in S.

P32 • Proposition.— If S is a Garside family in a left-cancellative category C and  $\mathcal{S}^{\sharp}=\mathcal{S}$  holds, then  $\mathcal{C}\cong \mathsf{Cat}(\mathcal{S}).$ 

P31 • Claim.—  $S$  Garside in  $C \Rightarrow C$  presented by the relations  $fg = h$  with  $f, g, h \in S^{\sharp}$ .

P34 • Proposition — If S is a left-associative germ, then S embeds in  $Cat(S)$  as a subfamily that is closed under right-divisor.

P33 • Claim.— Define  $\Pi : S^* \to S$  (partial) by  $\Pi(\varepsilon_x) = 1_x$  and  $\Pi(g \mid w) = g \bullet \Pi(w)$ whenever defined. Then  $w \equiv w' \Rightarrow \Pi(w)$  defined iff  $\Pi(w')$  defined, and then equal.

• Definition.— A germ S is a Garside germ if there exists a left-cancellative category  $\mathcal C$ such that  $S$  embeds in  $C$  and  $S$  is a dense Garside family in  $C$ .

> ↑ generates the category and is closed under right-divisor

 $\bullet$  Definition — A  ${\cal J}$ -function for a germ  ${\cal S}$  is a map  $J$  :  ${\cal S}^{[2]}\to{\cal S}$  s.t., for every  $(g_1,g_2)$ ,  $J(g_1, g_2) \in \{h \in S \mid g_1 \bullet h \text{ is defined and } h \preccurlyeq_S g_2\}.$ 

 $\mathcal{J}(\mathcal{g}_1, \mathcal{g}_2)$ 

• Proposition.— A germ is a Garside germ iff it is left-associative, left-cancellative, and there exists a  $J$ -function  $J$  that satisfies the  $J$ -law:

 $g = g_1 \bullet g_2$  implies  $J(g_1, g_2 \bullet J(g_2, g_3)) = g_2 \bullet J(g, g_3)$ .

P36 • Proof  $(\Rightarrow)$ : Assume S is a Garside germ.

P35 • Claim.— Exists a sharp *S*-head function:  $g = x' g' \Rightarrow H(g) = H(g')$ .

• Define  $J(g_1, g_2)$  by  $g_1 \bullet J(g_1, g_2) = H(g_1 g_2)$ . Then  $g = g_1 \bullet g_2$  implies  $H(g_1(g_2g_3)) = H(g_1H(g_2g_3))$ , which translates to  $J(g_1, g_2 \bullet J(g_2, g_3)) = g_2 \bullet J(g, g_3)$ . the  $H$ -law the  $7$ -law 

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- Proof  $(\Leftarrow)$ : Assume S is a left-associative, left-cancellative germ that admits a  $J$ -function  $J$  satisfying the  $J$ -law.
- Aim: Show that  $Cat(S)$  is left-cancellative and S is a Garside family in  $Cat(S)$ .

• Claim 1.— Define  $K: S^{[2]} \to S$ ,  $\Theta: S^* \to S$  and  $\Omega: S^* \to S^*$  by  $g_2 = J(g_1, g_2) \cdot K(g_1, g_2),$  $\Theta(\varepsilon_{x}) = 1_{x}$  and  $\Theta(g \mid w) = g \bullet J(g, \Theta(w)),$  $\Omega(\varepsilon_{x}) = \varepsilon_{x}$  and  $\Omega(g \mid w) = K(g, \Theta(w)) \mid \Omega(w)$ . Then  $\Theta$  and  $\Omega$  are compatible with  $\equiv$ , and  $w\equiv\Theta(w)\,|\,\Omega(w)$  always holds.

> the congruence that defines  $\mathcal{C}\mathit{at}(\mathcal{S})$  from  $\mathcal{S}^*$ = the congruence generated by the relations  $f | g = h$  with  $f \cdot g = h$

• Claim  $2 - w \equiv J(g, \Theta(w)) \cdot \Omega(g \mid w)$ .

• Claim  $3 - Cat(S)$  is left-cancellative.

• Claim 4.—  $\Theta$  induces an S-head function on S.

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• Definition.— A  $\mathcal{J}$ -function  $J$  for a germ  $\mathcal{S}$  is called maximum if  $\forall h \in \mathcal{J}(g_1, g_2)$   $(h \preccurlyeq J(g_1, g_2))$ .

• Proposition.— A germ is a Garside germ iff it is left-associative, left-cancellative, and admits a maximum  $J$ -function.

• Proof:  $(\Rightarrow)$  The J-function deduced from an S-head function is maximum.  $(\Leftarrow)$  A maximum J almost satisfies the  $J$ -law.

• Claim 1.— A maximum  $\mathcal{J}$ -function  $J$  satisfies the weak  $\mathcal{J}$ -law  $g = g_1 \bullet g_2$  implies  $J(g_1, g_2 \bullet J(g_2, g_3)) =_S^\times g_2 \bullet J(g, g_3)$ .

• Definition.— An *I*-function is ...  $\in \{g \in S \mid \exists h \ (g = g_1 \bullet h \text{ and } h \preccurlyeq_S g_2) \}.$ 

• Claim 2.— A maximum  $\mathcal{I}$ -function I satisfies the weak  $\mathcal{I}$ -law  $g = g_1 \bullet g_2$  implies  $I(g_1, I(g_2, g_3)) = g' \setminus I(g, g_3)$ .

• Claim 3.—  $\exists$  function satisfying the weak  $\mathcal{I}$ -law  $\Rightarrow$   $\exists$  function satisfying the  $\mathcal{I}$ -law.

## • Definition — A germ  $S$  is right-Noetherian if right-divisibility is a well-founded relation on  $S$ . ↑

every nonempty subfamily has a least element

• Proposition.— A right-Noetherian germ  $S$  is a Garside germ iff it is left-associative, left-cancellative, and, for every  $(g_1,g_2)$  in  ${\cal S}^{[2]}$ , any two elements of  ${\cal J}(g_1,g_2)$  have a common right-multiple in  $\mathcal{J}(g_1, g_2)$ .



• Corollary.— For a germ  $S$  to be a Garside germ, it is sufficient that  $S$  is left-associative, left-cancellative, right-Noetherian, admits right-lcm's, and satisfies (\*) If  $g_1 \bullet h$  and  $g_1 \bullet h'$  are defined, then  $g_1 \bullet \text{ lcm}(h, h')$  is defined. If  $S$  is right-associative,  $(*)$  is necessarily satisfied.

• Definition – For G a group and  $\Sigma$  positively generating G:  $- (g_1, ..., g_r)$  is  $\Sigma$ -tight if  $||g_1 ... g_r||_{\Sigma} = ||g_1||_{\Sigma} + ... + ||g_r||_{\Sigma};$ - *f* is a Σ-prefix of g if  $(f, f^{-1}g)$  is Σ-tight (resp. Σ-suffix ...  $(gf^{-1}, f)$  ...).

• Definition.— For G a group,  $\Sigma$  positively generating G, and  $H \subseteq G$ , the derived germ  $H_{\sqrt{\sum}}$  is  $(H, 1, \bullet)$  where  $f \bullet g = h$  is  $fg = h$  and  $(f, g)$  is  $\Sigma$ -tight.

• Lemma.—  $H_{\sqrt{2}}$  is a cancellative and Noetherian germ; it is left-associative (resp. right-associative) whenever H is closed under  $\Sigma$ -suffix (resp. prefix) in G.

• Proposition.— Assume G is a group,  $\Sigma$  positively generates G, and  $H \subseteq G$  is closed under  $\Sigma$ -suffix and  $\Sigma$ -prefix in G. If any two elements of H admits a least common Σ-prefix, then  $H_{\sqrt{2}}$  is a Garside germ.

• Example —  $G = \mathfrak{S}_n$  with  $\Sigma = \{(i, i+1) \mid i < n\}.$ Then  $G_{/\Sigma} = Div(\Delta_n)$  is a Garside germ, and  $Cat(H_{/\Sigma}) = B_n^+$ .

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