

Self-distributivity, braids, and set theory

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Self-distributive systems and quandle (co)homology theory in algebra and low-dimensional topology, Pusan, June 2017



- Many things are known about shelves (SD-structures that need not be racks).
- Here special emphasis on the connection with braids and with set theory.

## Plan:

- 1. Braid colorings
  - Diagrams and Reidemeister moves
  - Diagram colorings
  - Quandles, racks, and shelves
- 2. The SD-world
  - Classical and exotic examples
  - The world of shelves
- 3. The braid shelf
  - The braid operation
  - Larue's lemma and free subshelves
  - Special braids
- 4. The free monogenerated shelf
  - Terms and trees
  - The comparison property
  - The Thompson's monoid of SD
- 5. The set-theoretic shelf
  - Set theory and large cardinals
  - Elementary embeddings
  - The iteration shelf
- 6. Using set theory to investigate Laver tables

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- Quotients of the iteration shelf
- A dictionary
- Results about periods

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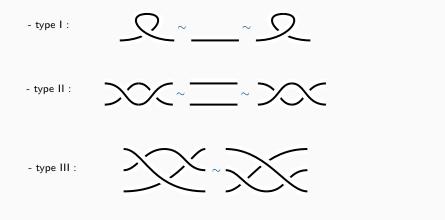
• Planar diagrams:



▶ projections of curves embedded in  $\mathbb{R}^3$ 

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 Generic question: recognizing whether two diagrams are (projections of) isotopic figures
 find isotopy invariants. • Two diagrams represent isotopic figures iff one can go from the former to the latter using finitely many Reidemeister moves:



 Fix a set (of colors) S equipped with two operations ⊲, ⊲, and color the strands in diagrams obeying the rules:



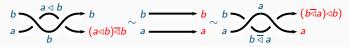
• Action of Reidemeister moves on colors:



- ▶ Hence: S-colorings invariant under Reidemeister move III  $\Leftrightarrow$  (S,  $\triangleleft$ ) is a shelf
- <u>Proposition</u>: Whenever  $(S, \triangleleft)$  is a shelf, diagram coloring provides a well defined action of the braid monoid  $B_n^+$  on  $S^n$  for every n.

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Idem for Reidemeister move II:



- Lemma: There exists *¬* satisfying (x ⊲ y) *¬* y = x and (x ¬ y) *¬* y = x iff the right translations of (S, ¬) are bijections.
  - ► Hence: S-colorings invariant under Reidemeister moves II+III ⇔ (S, ⊲) is a shelf with bijective right translations a rack
- <u>Proposition</u>: Whenever  $(S, \triangleleft)$  is a rack, diagram coloring provides a well defined action of the braid group  $B_n$  on  $S^n$  for every n.

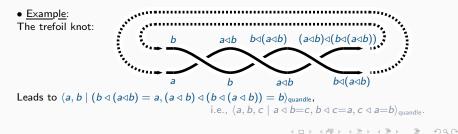
Idem for Reidemeister move I:



• Theorem (Joyce, Matveev): Define the fundamental guandle of the closure of an *n*-strand braid  $\beta$  to be (a

$$|a_1,...,a_n\mid a_1=a_1',...,a_n=a_n'
angle_{quandle}$$

where  $a'_1, ..., a'_n$  are the output colors in (a diagram of)  $\beta$  with input colors  $a_1, ..., a_n$ . Then the fundamental quandle is a complete isotopy invariant up to mirror symmetry.



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• Quandles and racks have being used successfully in knot theory in particular via homological approximations: Fenn, Rourke, Carter, Kamada ...

• <u>Main question</u>: Could shelves that are not racks be useful in topology?

- Bad news: General shelves are very different from racks.
  - ▶ Precise meaning: free racks are very special shelves...
  - ▶ Presumably much work to adapt the results. (?)
- Good news: General shelves are very different from racks.
  - ▶ If general shelves can be used, one can expect really new applications.
  - Explore the world of shelves...

- An example (of using non-rack shelves): the partial action of braids on a right-cancellative shelf

Then one can define

<u>Proposition</u>: One obtains in this way a well-defined partial action of B<sub>n</sub> on S<sup>n</sup>, s.t.
 For all n-strand braid words w<sub>1</sub>,..., w<sub>p</sub>,

there exists at least one sequence  $\vec{a}$  in  $S^n$  s.t.  $\vec{a} \cdot w_i$  is defined for each *i*.

▶ If w, w' are equivalent *n*-strand braid words and  $\vec{a} \cdot w$  and  $\vec{a} \cdot w'$  are defined,

then  $\vec{a} \cdot w = \vec{a} \cdot w'$  holds.

Proof: Not trivial, uses the Garside structure of braids.

→ a usable partial action...

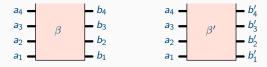
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- <u>Definition</u>: A shelf is orderable if there exists a linear ordering < on S s.t.</li>
   a < b implies a ⊲ c < b ⊲ c, and a < b ⊲ a always holds.</li>
  - Orderable shelves exist (see later...)
  - An orderable shelf is never a rack. If  $(S, \triangleleft)$  is a rack:

$$b \triangleleft (a \triangleleft a) = ((b \triangleleft a) \triangleleft a) \triangleleft (a \triangleleft a) = ((b \triangleleft a) \triangleleft a) \triangleleft a = b \triangleleft a,$$

hence in particular  $a \triangleleft a = a \triangleleft (a \triangleleft a)$ . If  $(S, \triangleleft)$  is orderable,  $a \triangleleft a < a \triangleleft (a \triangleleft a)$ .

- An orderable shelf is right-cancellative: a ≠ b implies a < b or b < a, whence a ⊲ c < b ⊲ c or a ⊲ c > b ⊲ c, then a ⊲ c ≠ b ⊲ c.
- Coloring braids using an orderable shelf directly provides a linear ordering of braids:



► Then define  $\beta < \beta'$  iff  $\vec{a} \cdot \beta < \overset{\text{Lex}}{\uparrow} \vec{a} \cdot \beta'$ .

 $(b_1 < b_1^\prime)$  or  $(b_1 = b_1^\prime$  and  $b_2 < b_2^\prime)$  or etc.

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- "Trivial" shelves: S a set, f a map  $S \rightarrow S$ , and  $x \triangleleft y := f(x)$ .
  - ▶ A rack iff *f* is a permutation of *S*.
  - ▶ In particular: the cyclic rack:  $\mathbb{Z}/n\mathbb{Z}$  with  $p \triangleleft q := p + 1$ .
  - ▶ In particular: the augmentation rack:  $\mathbb{Z}$  with  $p \triangleleft q := p + 1$ .
- Lattice shelves:  $(L, \lor, 0)$  a (semi)-lattice, and  $x \triangleleft y := x \lor y$ .
  - ▶ Idempotent; never a rack for  $\#L \ge 2$ : always  $0 \triangleleft x = x \triangleleft x (=x)$ .
  - A non-idempotent related example: B a Boolean algebra, and  $x \triangleleft y := x \lor y^c$ .

(i.e., " $x \Leftarrow y$ ")

• Alexander shelves: R a ring, t an element of R, E an R-module,

and  $x \triangleleft y := (1 - t)x + ty$ .

- ▶ A rack (even a quandle) iff t is invertible in R.
- ▶ In particular: symmetries in  $\mathbb{R}^n$ :  $x \triangleleft y := -2x + y$  ( $\rightsquigarrow$  root systems).
- Conjugacy quandles: G a group,  $x \triangleleft y := y^{-1}xy$ .
  - ► Always a quandle.
  - ▶ In particular: the free quandle based on X when G is the free group based on X.

when viewed as  $(Q, \triangleleft, \neg)$ :  $(F_X, \triangleleft)$  is <u>not</u> a free idempotent shelf, it satisfies other laws:  $x \triangleleft (y \triangleleft (y \triangleleft x)) = (x \triangleleft (x \triangleleft y)) \triangleleft (y \triangleleft x), \dots$ (Drápal-Kepka-Musílek, Larue)

▶ Variants:  $x \triangleleft y := y^{-n}xy^n$ ,  $x \triangleleft y := f(y^{-1}x)y$  with  $f \in Aut(G)$ , ...

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- Core (or sandwich) quandles: G a group, and  $x \triangleleft y := yx^{-1}y$ .
- Half-conjugacy racks: G a group, X a subset of G, and  $(x,g) \triangleleft (y,h) := (x, h^{-1}y^{-1}gyh)$  on  $X \times G$ .
  - ▶ Not idempotent for  $X \not\subseteq Z(G)$ .
  - the free rack based on X when G is the free group based on X.
- The injection shelf: X an (infinite) set, ℑ<sub>X</sub> monoid of all injections from X to itself, and f ⊲ g(x) := g(f(g<sup>-1</sup>(x))) for x ∈ Im(g), and f ⊲ g(x) := x otherwise.
  - ▶ In particular,  $X := \mathbb{N} (= \mathbb{Z}_{>0})$  starting with sh :  $n \mapsto n + 1$ :



[P.D. Algebraic properties of the shift mapping, Proc. Amer. Math. Soc. 106 (1989) 617-623]

- Braid shelf:  $B_{\infty}$  braid group, sh :  $\sigma_i \mapsto \sigma_{i+1}$ , and  $\alpha \triangleleft \beta := \operatorname{sh}(\beta)^{-1} \cdot \sigma_1 \cdot \operatorname{sh}(\alpha) \cdot \beta$ .
  - ▶ Part 3 below
  - ▶ A variant: charged braids (realization of free shelves with ≥2 generators)

[P.D. Construction of left distributive operations and charged braids, Int. J. Alg. Comput 10 (2000) 173-190]

Another variant: transfinite braids (with a second, associative operation)

[P.D. Transfinite braids and left distributive operations, Math. Z. 228 (1998) 405-433]

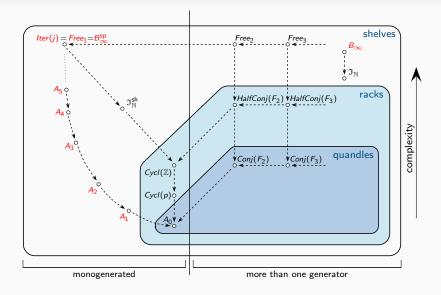
► Another variant: parenthezised braids (aka Brin's braided Thompson's group BV)

[P.D. The group of parenthesized braids, Adv. Math. 205 (2006) 354-409] Transfinite braids and left distributive operations;

- Free shelves:
  - Case of one generator: <u>Part 4</u> below
  - ► Case of ≥2 generators: a lexicographic extension of the case of one generator [P.D. A canonical ordering for free LD systems, Proc. Amer. Math. Soc. 122 (1994) 31-37]

• Iteration shelf (set theory):  $\lambda$  a Laver cardinal,  $E_{\lambda}$  set of all elementary embeddings from  $V_{\lambda}$  to itself, and  $i \triangleleft j := \bigcup_{\alpha \leq \lambda} j(i \cap V_{\alpha}^2)$ 

- Part 5 below
- Laver tables: a family of finite shelves with 2<sup>n</sup> elements
  - ► A. Drápal's minicourse
  - ▶ Part 6 below



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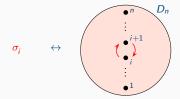
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• <u>Definition</u> (Artin 1925/1948): The braid group  $B_n$  is the group with presentation

$$\langle \sigma_1, ..., \sigma_{n-1} | \begin{array}{c} \sigma_i \sigma_j & \sigma_j \sigma_i \\ \sigma_i \sigma_j \sigma_i & \sigma_j \sigma_i \sigma_j \end{array}$$
 for  $|i-j| \ge 2$ .

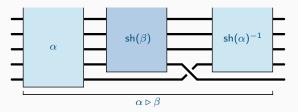


 $\simeq$  mapping class group of  $D_n$  (disk with *n* punctures):



- Adding a strand on the right provides  $i_{n,n+1}: B_n \subset B_{n+1}$ 
  - ► Direct limit  $B_{\infty} = \left\langle \sigma_1, \sigma_2, \dots \right| \begin{array}{c} \sigma_i \sigma_j = \sigma_j \sigma_i & \text{for } |i-j| \ge 2\\ \sigma_i \sigma_j \sigma_i = \sigma_j \sigma_i \sigma_j & \text{for } |i-j| = 1 \end{array} \right\rangle.$
  - ▶ Shift endomorphism of  $B_{\infty}$ : sh :  $\sigma_i \mapsto \sigma_{i+1}$ .





• Examples:  $1 \triangleright 1 = \sigma_1$ ,  $1 \triangleright \sigma_1 = \sigma_2 \sigma_1$ ,  $\sigma_1 \triangleright 1 = \sigma_1^2 \sigma_2^{-1}$ ,  $\sigma_1 \triangleright \sigma_1 = \sigma_2 \sigma_1$ , etc.

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$$\begin{array}{l} \blacktriangleright \mbox{ Proof: } \alpha \triangleright (\beta \triangleright \gamma) = \alpha \cdot \operatorname{sh}(\beta \cdot \operatorname{sh}(\gamma) \cdot \sigma_1 \cdot \operatorname{sh}(\beta)^{-1}) \cdot \sigma_1 \cdot \operatorname{sh}(\alpha)^{-1} \\ = \alpha \cdot \operatorname{sh}(\beta) \cdot \operatorname{sh}^2(\gamma) \cdot \sigma_2 \cdot \operatorname{sh}^2(\beta)^{-1} \cdot \sigma_1 \cdot \operatorname{sh}(\alpha)^{-1} \\ = \alpha \cdot \operatorname{sh}(\beta) \cdot \operatorname{sh}^2(\gamma) \cdot \sigma_2 \sigma_1 \cdot \operatorname{sh}^2(\beta)^{-1} \cdot \operatorname{sh}(\alpha)^{-1} \\ (\alpha \triangleright \beta) \triangleright (\alpha \triangleright \gamma) \\ = (\alpha \operatorname{sh}(\beta) \sigma_1 \operatorname{sh}(\alpha)^{-1}) \cdot \operatorname{sh}(\alpha \operatorname{sh}(\gamma) \sigma_1 \operatorname{sh}(\alpha)^{-1}) \cdot \sigma_1 \cdot \operatorname{sh}(\alpha \operatorname{sh}(\beta) \sigma_1 \operatorname{sh}(\alpha)^{-1})^{-1} \\ = \alpha \operatorname{sh}(\beta) \sigma_1 \operatorname{sh}(\alpha)^{-1} \operatorname{sh}(\alpha) \operatorname{sh}^2(\gamma) \sigma_2 \operatorname{sh}^2(\alpha)^{-1} \sigma_1 \operatorname{sh}^2(\alpha) \sigma_2^{-1} \operatorname{sh}^2(\beta)^{-1} \operatorname{sh}(\alpha)^{-1} \\ = \alpha \operatorname{sh}(\beta) \sigma_1 \operatorname{sh}^2(\gamma) \sigma_2 \sigma_1 \sigma_2^{-1} \operatorname{sh}^2(\beta)^{-1} \operatorname{sh}(\alpha)^{-1} \\ = \alpha \cdot \operatorname{sh}(\beta) \cdot \operatorname{sh}^2(\gamma) \cdot \sigma_1 \sigma_2 \sigma_1 \sigma_2^{-1} \cdot \operatorname{sh}^2(\beta)^{-1} \cdot \operatorname{sh}(\alpha)^{-1} \\ \Box \end{array}$$

• <u>Remark</u>: Shelf (=right shelf) with

 $\alpha \triangleleft \beta := \operatorname{sh}(\beta)^{-1} \cdot \sigma_1 \cdot \operatorname{sh}(\alpha) \cdot \beta,$ 

but less convenient here.

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• <u>Remark</u>: Works similarly with

$$x \triangleright y := x \cdot \phi(y) \cdot e \cdot \phi(x)^{-1}$$

whenever G is a group G, e belongs to G, and  $\phi$  is an endomorphism  $\phi$  satisfying  $e \phi(e) e = \phi(e) e \phi(e)$  and  $\forall x (e \phi^2(x) = \phi^2(x) e).$  • <u>Proposition</u> (D., 1989, Laver, 1989) If  $(S, \triangleright)$  is a monogenerated left shelf, a sufficient condition for  $(S, \triangleright)$  to be free is that the relation  $\sqsubseteq$  on S has no cycle.

 $x \sqsubseteq y$  if  $\exists z (x \triangleright z = y)$ .

▶ Equivalently:  $x = ( \cdots ((x \triangleright z_1) \triangleright z_2) \triangleright \cdots ) \triangleright z_n$  is impossible.

• <u>Theorem</u> (D., 1991): Every braid in  $B_{\infty}$  generates in  $(B_{\infty}, \triangleright)$  a free left shelf.

▶ Typically: The subshelf of  $(B_{\infty}, \triangleright)$  generated by 1 is a free left shelf.

▶ Proof (Larue, 1992): Use the (faithful) Artin representation  $\rho$  of  $B_{\infty}$  in Aut( $F_{\infty}$ ):  $\rho(\sigma_i)(x_i) := x_i x_{i+1} x_i^{-1}$ ,  $\rho(\sigma_i)(x_{i+1}) := x_i$ ,  $\rho(\sigma_i)(x_k) := x_k$  for  $k \neq i, i+1$ , Want to prove:  $\rho(\alpha) \neq \rho(\cdots ((\alpha \triangleright \beta_1) \triangleright \beta_2) \triangleright \cdots) \triangleright \beta_n)$ . By definition:  $\rho(\cdots ((\alpha \triangleright \beta_1) \triangleright \beta_2) \triangleright \cdots) \triangleright \beta_n) = \rho(\alpha) \circ \rho(\gamma)$ , with  $\gamma$  a braid of the form  $\mathrm{sh}(\gamma_0) \sigma_1 \mathrm{sh}(\gamma_1) \sigma_1 \mathrm{sh}(\gamma_2) \cdots \sigma_1 \mathrm{sh}(\gamma_n)$ , with no  $\sigma_1^{-1}$ . Call such a braid  $\sigma_1$ -positive. It suffices to prove: " $\beta$  is  $\sigma_1$ -positive  $\Rightarrow \rho(\beta) \neq \mathrm{id}_{F_{\infty}}$ ".

# • Lemma (Larue, 1992) If $\beta$ is $\sigma_1$ -positive, then $\rho(\beta)(x_1)$ finishes with $x_1^{-1}$ .

▶ Proof: Identify  $F_{\infty}$  with the set of freely reduced words on  $\{x_1, x_2, ...\}$ (no  $ss^{-1}$  or  $s^{-1}s$ ). Use sh also for  $F_{\infty}$ :  $x_i \mapsto x_{i+1}$ . Let  $W := \{w \mid w \text{ reduced word finishing with } x_1^{-1}\}.$ If  $\beta$  contains no  $\sigma_1^{\pm 1}$ , then  $\rho(\beta)(x_1) = x_1$ . If  $\beta = \sigma_1 \operatorname{sh}(\gamma)$ , then  $\rho(\beta)(x_1) = \rho(\sigma_1)(\rho(\operatorname{sh}(\gamma))(x_1)) = \rho(\sigma_1)(x_1) = x_1 x_2 x_1^{-1} \in W$ . So, it suffices to show:  $w \in W$  implies  $\rho(\sigma_1)(w) \in W$  and  $\rho(\sigma_i^{\pm 1})(w) \in W$  for  $i \ge 2$ . Assume  $w \in W$ , say  $w = w' x_1^{-1}$ , and consider  $\rho(\sigma_1)(w) \in W$ ? Write  $\phi$  for  $\rho(\sigma_1)$ . Then  $\phi(w) = \operatorname{red}(\phi(w') x_1 x_2^{-1} x_1^{-1})$ . If  $\phi(w)$  does not finish with  $x_1^{-1}$ , an  $x_1$  in  $\phi(w')$ cancels the final  $x_1^{-1}$ . This  $x_1$  comes either from an  $x_1$ , or an  $x_1^{-1}$ , or an  $x_2$  in w. - For  $w' = u \mathbf{x}_1 v$ , we find  $\phi(w) = \operatorname{red}(\phi(u)\mathbf{x}_1\mathbf{x}_2\mathbf{x}_1^{-1}\phi(v)\mathbf{x}_1\mathbf{x}_2^{-1}\mathbf{x}_1^{-1}), \text{ with } \operatorname{red}(\mathbf{x}_2\mathbf{x}_1^{-1}\phi(v)\mathbf{x}_1\mathbf{x}_2^{-1}) = 1.$ Hence  $\phi(v) = 1$ , then v = 1, and  $w' = ux_1$ , contradicting " $w'x_1^{-1}$  reduced". - For  $w' = u x_1^{-1} v$ , we find  $\phi(w) = \operatorname{red}(\phi(u)\mathbf{x_1}\mathbf{x_2}^{-1}\mathbf{x_1}^{-1}\phi(v)\mathbf{x_1}\mathbf{x_2}^{-1}\mathbf{x_1}^{-1}), \text{ with } \operatorname{red}(\mathbf{x_2}^{-1}\mathbf{x_1}^{-1}\phi(v)\mathbf{x_1}\mathbf{x_2}^{-1}) = 1.$ Hence  $\phi(v) = x_1 x_2^2 x_1^{-1}$ , then  $v = x_1^2$ , and  $w' = u x_1^{-1} x_1^2$ , contradicting "w' reduced". - For  $w' = u \mathbf{x}_2 v$ , we find  $\phi(w) = \operatorname{red}(\phi(u)\mathbf{x}_1\phi(v)x_1x_2^{-1}\mathbf{x}_1^{-1})$  with  $\operatorname{red}(\phi(v)x_1x_2^{-1}) = 1$ . Hence  $\phi(v) = x_2^{-1}x_1$ , then  $v = x_2^{-1}x_1$ , and  $w' = ux_2x_2^{-1}x_1$ , contradicting "w' reduced". 

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• Definition: A braid  $\beta$  is special if it belongs to the closure of  $\{1\}$  under  $\triangleright$ .

• Examples: 1 is special;  $1 \triangleright 1 = \sigma_1$  is special;  $1 \triangleright (1 \triangleright 1) = 1 \triangleright \sigma_1 = \sigma_1 \sigma_2$  is special;  $(1 \triangleright 1) \triangleright 1 = \sigma_1 \triangleright 1 = \sigma_1^2 \sigma_2^{-1}$  is special, etc.

 <u>Proposition</u>: Let B<sup>sp</sup><sub>sp</sub> be the family of all special braids. Then (B<sup>sp</sup><sub>sp</sub>, ▷) is a realization of the free monogenerated left shelf.

• <u>Corollary</u> ("word problem of SD"): Two terms T, T' (in  $\times$  and  $\triangleright$ ) are <u>SD</u>-equivalent iff the braids T(1) and T'(1) evaluated in  $B_{\infty}$  are equal.

• Lemma: If  $\beta$  is a special braid, we have

 $(1, 1, ...) \bullet \beta = (\beta, 1, 1, ...).$ 

▶ Proof: Induction on 
$$\beta$$
 special. True for 1. Then  
 $(1, 1, ...) \bullet (\alpha \triangleright \beta) = (((1, 1, ...) \bullet \alpha) \bullet \operatorname{sh}(\alpha)) \bullet \sigma_1) \bullet \operatorname{sh}(\beta)^{-1}$   
 $= ((\alpha, 1, 1, ...) \bullet \operatorname{sh}(\beta)) \bullet \sigma_1) \bullet \operatorname{sh}(\alpha)^{-1}$   
 $= (\alpha, \beta, 1, ...) \bullet \sigma_1) \bullet \operatorname{sh}(\alpha)^{-1}$   
 $= (\alpha \triangleright \beta, \alpha, 1, ...) \bullet \operatorname{sh}(\alpha)^{-1}$   
 $= (\alpha \triangleright \beta, 1, 1, ...)$ 

• Lemma: For  $\vec{\alpha} = (\alpha_1, \alpha_2, ...)$  in  $\mathcal{B}_{\infty}^{(\mathbb{N})}$ , write  $\prod^{\text{sh}} \vec{\alpha}$  for  $\alpha_1 \cdot \text{sh}(\alpha_2) \cdot \text{sh}^2(\alpha_3) \cdot ...$ . Then  $\vec{\alpha} \cdot \beta = \vec{\gamma}$  implies  $\prod^{\text{sh}} \vec{\alpha} \cdot \beta = \prod^{\text{sh}} \vec{\gamma}$ .

▶ Proof: Suffices to consider  $\beta = \sigma_i^{\pm 1}$ . Assume e.g.  $\beta = \sigma_1$ . Then  $\vec{\alpha}$  contributes  $\alpha_1 \cdot \operatorname{sh}(\alpha_2) \cdot \cdots$ , whereas  $\vec{\gamma}$  contributes  $\alpha_1 \cdot \operatorname{sh}(\alpha_2) \cdot \sigma_1 \cdot \operatorname{sh}(\alpha_1)^{-1} \cdot \operatorname{sh}(\alpha_1) \cdot \cdots$ , i.e.,  $\alpha_1 \cdot \operatorname{sh}(\alpha_2) \cdot \sigma_1 \cdot \cdots$ . As  $\sigma_1$  commutes with every entry  $\operatorname{sh}^2(\alpha_i)$ , that's OK.

• <u>Proposition</u>: Every braid  $\beta$  s.t.  $(1, 1, 1, ...) \bullet \beta$  is defined admits a unique decomposition as  $\beta_1 \cdot \operatorname{sh}(\beta_2) \cdot \operatorname{sh}^2(\beta_3) \cdot \cdots$  with  $\beta_1, \beta_2, ...$  special.

▶ Applies in particular to every positive braid.

▶ Proof: Assume  $(1, 1, 1, ...) \bullet \beta = (\beta_1, \beta_2, \beta_3, ...)$ . Then  $\beta_1, \beta_2, ...$  are special. As  $\prod^{sh}(1, 1, 1...) = 1$ , the lemma implies  $\beta = \prod^{sh} \vec{\beta}$ . Conversely, assume  $\beta = \prod^{sh} \vec{\beta}$ . Then  $(1, 1, 1, ...) \bullet \beta$  is defined, and it must be equal to  $(\beta_1, \beta_2, ...)$ , whence the uniqueness.

[P.D. Strange questions about braids, J. Knot Th. Ramif. 8 (1999) 589-620]

• At this point, two main questions:

.../...

- ▶ Where does this (strange) operation come from?

## Plan:

- 1. Braid colorings
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  - The iteration shelf
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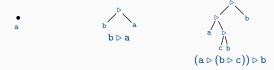
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- Quotients of the iteration shelf
- A dictionary
- Results about periods

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- Describe the free (left) shelf based on a set X (= the most general shelf gen'd by X) (= the shelf generated by X, every shelf generated by X is a quotient of)
- Lemma: Let *T<sub>X</sub>* be the family of all terms built from X and ▷, and =<sub>SD</sub> be the congruence (i.e., compatible equiv. rel.) on *T<sub>X</sub>* generated by all pairs
   (*T*<sub>1</sub> ▷ (*T*<sub>2</sub> ▷ *T*<sub>3</sub>), (*T*<sub>1</sub> ▷ *T*<sub>2</sub>) ▷ (*T*<sub>1</sub> ▷ *T*<sub>3</sub>)).

   Then *T<sub>X</sub>*/=<sub>SD</sub> is the free left-shelf based on X.
  - ▶ Proof: trivial.
  - $\blacktriangleright$  ...but says nothing: =<sub>SD</sub> not under control so far. In particular, is it decidable?
- Terms on X as binary trees with nodes  $\triangleright$  and leaves in X: assuming  $X = \{a, b, c\}$ ,



• Lemma (confluence): Let  $\rightarrow_{SD}$  be the <u>semi</u>-congruence on  $\mathcal{T}_X$  gen'd by all pairs  $(\mathcal{T}_1 \triangleright (\mathcal{T}_2 \triangleright \mathcal{T}_3), (\mathcal{T}_1 \triangleright \mathcal{T}_2) \triangleright (\mathcal{T}_1 \triangleright \mathcal{T}_3)).$ 

Then  $T_1 =_{SD} T_2$  holds iff one has  $T_1 \rightarrow_{SD} T$  and  $T_2 \rightarrow_{SD} T$  for some T.

"SD-equivalent iff admit a common SD-expansion"

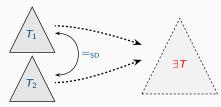
▶ Proof: =<sub>SD</sub> is the symmetric closure of →<sub>SD</sub> (clear):  $T_1 =_{SD} T_2$  holds iff there is a →<sub>SD</sub>-zigzag from  $T_1$  to  $T_2$ . Suffices to show: if  $T \to_{SD} T_1$  and  $T \to_{SD} T_2$ , then  $\exists T' (T_1 \to_{SD} T' \text{ and } T_2 \to_{SD} T')$ . Define  $T \triangleright^* x := T \triangleright x$  and  $T \triangleright^* (T_1 \triangleright T_2) := (T \triangleright^* T_1) \triangleright (T \triangleright^* T_2)$ , and then  $\partial x := x$  and  $\partial (T_1 \triangleright T_2) := \partial T_1 \triangleright^* \partial T_2$ . Then

•  $T \rightarrow_{SD} \partial T$  (easy induction),

•  $T \rightarrow^{1}_{SD} T'$  implies  $T' \rightarrow_{SD} \partial T$  (semi-easy induction),

•  $T \rightarrow_{SD} T'$  implies  $\partial T \rightarrow_{SD} \partial T'$  (more delicate induction).

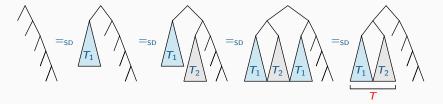
From there,  $T \rightarrow_{\text{SD}}^{\rho} T'$  implies  $T' \rightarrow_{\text{SD}} \partial^{\rho} T$  (easy), whence  $T \rightarrow_{\text{SD}}^{\rho} T_1$  and  $T \rightarrow_{\text{SD}}^{q} T_2$  implies  $T_1 \rightarrow_{\text{SD}} \partial^{r} T$  and  $T_2 \rightarrow_{\text{SD}} \partial^{r} T$  for  $r \ge \max(p, q)$ .  $\Box$ 



• Lemma (absorption): Define  $x^{[1]} := x$  and  $x^{[n]} := x \triangleright x^{[n-1]}$  for  $n \ge 2$ . For T in  $\mathcal{T}_x$ ,  $x^{[n+1]} =_{SD} T \triangleright x^{[n]}$ 

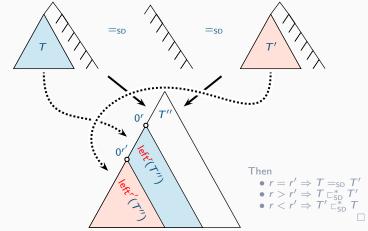
holds for n > ht(T), where ht(x) := 0 and  $ht(T_1 \triangleright T_2) := max(ht(T_1), ht(T_2)) + 1$ .

▶ Proof: Induction on *T*. For *T* = *x*, direct from the definitions.  
Assume *T* = *T*<sub>1</sub> ▷ *T*<sub>2</sub> and *n* > ht(*T*). Then *n* − 1 > ht(*T*<sub>1</sub>) and *n* − 1 > ht(*T*<sub>2</sub>).  
Then 
$$x^{[n+1]} =_{SD} T_1 ▷ x^{[n]}$$
 by induction hypothesis for *T*<sub>1</sub>  
 $=_{SD} T_1 ▷ (T_2 ▷ x^{[n-1]})$  by induction hypothesis for *T*<sub>2</sub>  
 $=_{SD} (T_1 ▷ T_2) ▷ (T_1 ▷ x^{[n-1]})$  by applying SD  
 $=_{SD} (T_1 ▷ T_2) ▷ x^{[n]}$  by induction hypothesis for *T*<sub>1</sub>  
 $= T ▷ x^{[n]}$ .



• Lemma (comparison I): Write  $T \sqsubset_{SD} T'$  for  $\exists T'' (T' =_{SD} T \triangleright T'')$ , and  $\sqsubset_{SD}^{*}$  for the transitive closure of  $\sqsubset_{SD}$ . Then, for all T, T' in  $\mathcal{T}_x$ , one has at least one of  $T \sqsubset_{SD}^{*} T'$ ,  $T =_{SD} T'$ ,  $T' \sqsubset_{SD}^{*} T$ .

► Proof:



Lemma (comparison II): If (S, ▷) is a monogenerated left-shelf, any two distinct elements of S are c\*-comparable.

 ↑
 transitive closure of c = iterated left divisibility relation

 Proof: Assume S gen'd by g and a ≠ a' in S. By def, a = T(g) and a' = T'(g) for some terms T, T'. If T c<sup>\*</sup><sub>SD</sub> T', then a c\* a' in S; if T' c<sup>\*</sup><sub>SD</sub> T, then a' c\* a in S;

otherwise,  $T =_{SD} T'$ , hence a = a' in S.

• <u>Proposition</u> (freeness criterion): If  $(S, \triangleright)$  is a monogenerated left-shelf and  $\sqsubset$  has no cycle, then  $(S, \triangleright)$  is free.

▶ Proof: Assume *S* gen'd by *g*. "*S* is free" means " $T \neq_{SD} T' \Rightarrow T(g) \neq T'(g)$ ". Now  $T \neq_{SD} T'$  implies  $T \sqsubset_{SD}^* T'$  or  $T' \sqsubset_{SD}^* T$ , whence  $T(g) \sqsubset^* T'(g)$  or  $T'(g) \sqsubset^* T(g)$ . As  $\sqsubset$  has no cycle in *S*, both imply  $T(g) \neq T'(g)$ .

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• Definition: For  $\alpha$  a binary address (= finite sequence of 0s and 1s), let SD $_{\alpha}$  be the partial operator "apply SD in the expanding direction at address  $\alpha$ ". The Thompson's monoid of SD is the monoid  $\mathcal{M}_{SD}$  gen'd by all SD $_{\alpha}$  and their inverses.

- Fact: Two terms T, T' are SD-equivalent iff some element of  $\mathcal{M}_{SD}$  maps T to T'.
- Now, for every term T, select an element  $\chi_T$  of  $\mathcal{M}_{SD}$  that maps  $x^{[n+1]}$  to  $T \triangleright x^{[n]}$ . ▶ Follow the inductive proof of the absorption property:

$$\chi_{\mathsf{x}} := 1, \quad \chi_{\mathcal{T}_1 \triangleright \mathcal{T}_2} := \chi_{\mathcal{T}_1} \cdot \mathsf{sh}_1(\chi_{\mathcal{T}_2}) \cdot \mathsf{SD}_{\emptyset} \cdot \mathsf{sh}_1(\chi_{\mathcal{T}_1})^{-1}.$$

(\*\*)

- $SD_{11\alpha}SD_{\alpha} = SD_{\alpha}SD_{11\alpha}, SD_{1\alpha}SD_{\alpha}SD_{1\alpha}SD_{0\alpha} = SD_{\alpha}SD_{1\alpha}SD_{\alpha}, \text{ etc.}$   $\bullet \text{ When every } SD_{\alpha} \text{ s.t. } \alpha \text{ contains } 0 \text{ is collapsed } s^{-1}$   $\bullet \text{ Write } \sigma = f^{-1}$ ▶ When every  $SD_{\alpha}$  s.t.  $\alpha$  contains 0 is collapsed, only the  $SD_{11...1}$ s remain.
  - Write  $\sigma_{i\perp 1}$  for the image of SD<sub>11...1</sub>, *i* times 1. Then (\*\*) becomes
  - Write  $\sigma_{i+1}$  for the image of j = 1.  $\sigma_i \sigma_j = \sigma_j \sigma_i$  for  $|j i| \ge 2$ ,  $\sigma_i \sigma_j \sigma_i = \sigma_j \sigma_i \sigma_j$  for |j i| = 1.
    - ▶ The resulting quotient of  $\mathcal{M}_{SD}$  is  $B_{\infty}$  (!).
    - so collapsing all  $sh_0(\phi)$  maps  $T \triangleright x^{[n]}$  to  $T' \triangleright x^{[n]}$ ,  $to T' \models x^{[n]}$ , ▶ If  $\phi$  maps T to T', then  $sh_0(\phi)$  maps  $T \triangleright x^{[n]}$  to  $T' \triangleright x^{[n]}$ .
    - ▶ Its definition is the projection of (\*), i.e.,

$$a \triangleright b := a \cdot \operatorname{sh}(b) \cdot \sigma_i \cdot \operatorname{sh}(a)^{-1}$$

(\*)

• The "magic rule" revisited:

$$\begin{array}{c|c} \chi_{T_1} & \underset{\mapsto}{\overset{\mapsto}{\rightarrow}} \\ = s_D & T_1 & \underset{=}{\overset{\mapsto}{\rightarrow}} \\ \chi_{T_1} & \underset{=}{\overset{\mapsto}{\rightarrow}} \\ = s_D & T_1 & \underset{=}{\overset{\mapsto}{\rightarrow}} \\ \chi_{T_1} & \underset{=}{\overset{\to}{\rightarrow}} \\ \chi_{T_1} & \underset{=}{\overset{\to}{\rightarrow} \\ \chi_{T_1} & \underset{=}{\overset{\to}{\rightarrow}} \\ \chi_{T_1} & \underset{=}{\overset{\to}{\rightarrow} \\ \chi_{T_1} & \underset{=}{\overset{\to}{\rightarrow} } \\ \chi_{T_1} & \underset{=}{\overset{\to}{\rightarrow} \\ \chi_{T_1} & \underset{=}{\overset{\to}{\rightarrow}$$

whence  $\chi_{T_1 \triangleright T_2} = \chi_{T_1} \cdot \operatorname{sh}_1(\chi_{T_2}) \cdot \operatorname{SD}_{\emptyset} \cdot \operatorname{sh}_1(\chi_{T_1}^{-1})$ ,

which projects to the braid operation.

.../...

• See more in [P.D., Braids and selfdistributivity, PM192, Birkhaüser (1999)]



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- Quotients of the iteration shelf
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- From the very beginning, Set Theory is a theory of infinity.
- <u>Theorem</u> (Cantor, 1873): There exist at least two non-equivalent infinities.

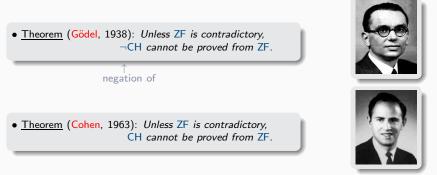
• <u>Theorem</u> (Cantor, 1880s): There exist infinitely many non-equivalent infinities, which organize in a well-ordered sequence

 $\aleph_0 < \aleph_1 < \aleph_2 < \cdots < \aleph_\omega < \cdots$ .



- <u>Facts</u>: card( $\mathbb{N}$ ) =  $\aleph_0$ , and card( $\mathbb{R}$ ) (= card( $\mathfrak{P}(\mathbb{N})$ ) =  $2^{\aleph_0}$ ) > card( $\mathbb{N}$ ).
- Question: For which  $\alpha$  (necessarily  $\geq 1$ ) does card( $\mathbb{R}$ ) =  $\aleph_{\alpha}$  hold?
  - ▶ Conjecture (Continuum Hypothesis, Cantor, 1879):  $card(\mathbb{R}) = \aleph_1$ .
  - ▶ Equivalently: every uncountable set of reals has the cardinality of  $\mathbb{R}$ .

- Beginning of XXth century: formalization of First Order logic (Frege, Russell, ...) and axiomatization of Set Theory (Zermelo, then Fraenkel, ZF):
  - ▶ Consensus: "We agree that these properties express
    - our current intuition of sets." (but this may change in the future...)
  - ► First question: Is CH or ¬CH (negation of CH) provable from ZF?



- Method of proof: Investigate models of ZF = abstract structures satisfying the axioms of ZF (≈ investigate abstract groups or fields).
   For Gödel: every model has a submodel that satisfies AC.
- ▶ For Cohen: every model has an extension that satisfies  $\neg AC$ .

- <u>Conclusion</u>: ZF is incomplete (not: CH is indecidable—which means nothing).
  - ▶ Discover further properties of sets, and adopt an extended list of axioms!
  - How to recognize that an axiom is true? (What does this mean?) Example: CH may be taken as an additional axiom, but not a good
- Which new axioms?
- From 1930's, axioms of large cardinal:
  - ▶ various solutions to the equation

 $\frac{\text{super-infinite}}{\text{infinite}} = \frac{\text{infinite}}{\text{finite}}$ 

- set theory (as opposed to number theory) begins when "there exists an infinite set" is in the base axioms;
- ▶ repeat the process with "super-infinite".
- ▶ inaccessible cardinals, measurable cardinals, huge cardinals, ineffable cardinals, etc.

• <u>Theorem(s)</u> (Martin-Steel, Woodin, ... 1970s-80s): A certain large cardinal axiom, PD ("projective determinacy", aka "there exists infinitely many Woodin cardinals"), provides a heuristically complete description of finite and countable sets.

 $\bullet$  <u>New consensus</u>: ZF+PD is, from now on, the reference system for set theory.



#### • Principle: self-similar implies large

- ▶ X infinite:  $\exists j : X \to X$  (j injective not bijective)
- ► X super-infinite:  $\exists j : X \to X$  (*j* inject. not biject. preserving all  $\in$ -definable notions)

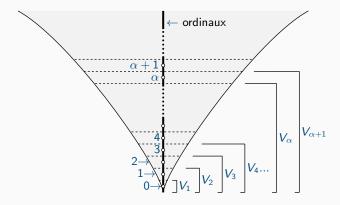
an elementary embedding of X

#### Example: N is not super-infinite.

▶ Proof: Assume  $j : \mathbb{N} \to \mathbb{N}$  witnesses for " $\mathbb{N}$  is super-infinite". Then 0 is the only element of  $\mathbb{N}$  satisfying "I am the smallest element for <", and < is definable from  $\in$ . Hence j(0) also satisfies "I am the smallest for <". Hence necessarily j(0) = 0. Now 1 says "I am the smallest after 0": By the same argument j(1) = 1, etc. So j is the identity.

## ► A super-infinite set must be so large that it contains <u>un</u>definable elements (since all definable elements must be fixed).

• Fact: There is a canonical filtration of sets by the sets  $V_{\alpha}$ ,  $\alpha$  an ordinal, def'd by  $V_0 := \emptyset$ ,  $V_{\alpha+1} := \mathfrak{P}(V_{\alpha})$ ,  $V_{\lambda} := \bigcup_{\alpha < \lambda} V_{\alpha}$  for  $\lambda$  limit.



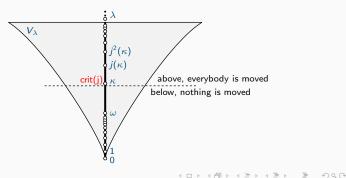
• <u>Fact</u>: If  $\lambda$  is a limit ordinal and  $f : V_{\lambda} \to V_{\lambda}$ , then  $f = \bigcup_{\alpha < \lambda} f \cap V_{\alpha}^2$  and  $f \cap V_{\alpha}^2$  belongs to  $V_{\lambda}$  for every  $\alpha < \lambda$ .

▶ Proof: Every element of  $V_{\lambda}$  belongs to some  $V_{\alpha}$  with  $\alpha < \lambda$ ; The set  $f \cap V_{\alpha}^2$  is included in  $V_{\alpha}^2$ , hence in  $V_{\alpha+2}$ , hence it belongs to  $V_{\alpha+3}$ , hence to  $V_{\lambda}$ .

- <u>Definition</u>: A Laver cardinal is a cardinal  $\lambda$  s.t. the set  $V_{\lambda}$  is "super-infinite", i.e., there exists a non-surjective elementary embedding from  $V_{\lambda}$  to itself.
- Fact: If there exists a super-infinite set, there exists a super-infinite set  $V_{\lambda}$

(hence a Laver cardinal).

- Fact: Assume  $j: V_{\lambda} \to V_{\lambda}$  witnesses that  $\lambda$  is a Laver cardinal.
  - The map j sends every ordinal  $\alpha$  to an ordinal  $\geq \alpha$ .
  - There exists an ordinal  $\alpha$  satisfying  $j(\alpha) > \alpha$ .
  - ▶ There exists a smallest ordinal  $\kappa$  satisfying  $j(\kappa) > \kappa$ : the "critical ordinal" of j.
  - One necessarily has  $\lambda = \sup_n j^n(\operatorname{crit}(j))$ .



- If  $\lambda$  is a Laver cardinal, let  $E_{\lambda}$  be the family of all non-trivial (= non-surjective) elementary embeddings from  $V_{\lambda}$  to itself (which is nonempty).
- <u>Definition</u>: For *i*, *j* in  $E_{\lambda}$ , the result of applying *i* to *j* is  $i[j] := \bigcup_{\alpha < \lambda} i(j \cap V_{\alpha}^{2}).$

• Lemma: The map  $(i, j) \mapsto i[j]$  is a binary operation on  $E_{\lambda}$ , and  $(E_{\lambda}, -[-])$  is a left-shelf.

▶ Proof: The sets  $j \cap V_{\alpha}^2$  belong to  $V_{\lambda}$ , and are pairwise compatible partial maps, hence so are the sets  $i(j \cap V_{\alpha}^2)$ : so i[j] is a map from  $V_{\lambda}$  to itself. "Being an elementary embedding" is definable, hence i[j] is an elementary embedding. "Being the image of" is definable, hence  $\ell = j[k]$  implies  $i[\ell] = i[j][i[k]]$ , i.e., i[j[k]] = i[j][i[k]]: the left SD law. □

• Attention! Application is <u>not</u> composition:

 $\operatorname{crit}(\mathbf{j} \circ \mathbf{j}) = \operatorname{crit}(\mathbf{j}), \quad \operatorname{but} \quad \operatorname{crit}(\mathbf{j}[\mathbf{j}]) > \operatorname{crit}(\mathbf{j}).$   $\blacktriangleright \operatorname{Proof:} \operatorname{Let} \kappa := \operatorname{crit}(\mathbf{j}). \quad \operatorname{For} \ \alpha < \kappa, \ j(\alpha) = \alpha, \ \operatorname{hence} \ j(j(\alpha)) = \alpha, \ \operatorname{whereas} \ j(\kappa) > \kappa, \ \operatorname{hence} \ j(j(\kappa)) > j(\kappa) > \kappa. \quad \operatorname{We} \ \operatorname{deduce} \ \operatorname{crit}(\mathbf{j} \circ \mathbf{j}) = \kappa.$ On the other hand,  $\forall \alpha < \kappa (\mathbf{j}(\alpha) = \alpha) \ \operatorname{implies} \ \forall \alpha < j(\kappa) (\mathbf{j}[\mathbf{j}](\alpha) = \alpha), \ \operatorname{whereas} \ j(\kappa) > \kappa \ \operatorname{implies} \ j(j(\kappa)) > j(\kappa). \quad \operatorname{We} \ \operatorname{deduce} \ \operatorname{crit}(\mathbf{j}[\mathbf{j}]) = \mathbf{j}(\kappa) > \kappa. \quad \Box$ 

• <u>Proposition</u>: If j is a nontrivial elementary embedding from  $V_{\lambda}$  to itself, then the iterates of j make a left-shelf Iter(j).

closure of  $\{j\}$  under the "application" operation: j[j], j[j][j]...

• Theorem (Laver, 1989): If j is a nontrivial elementary embedding from  $V_{\lambda}$  to itself, then lter(j) is a free left-shelf.

▶ Sketch of proof: Want to show that  $i = i[i_1] \cdots [i_n]$  is impossible for  $n \ge 1$ . Consider here n = 1. Then  $\operatorname{crit}(i[i_1]) = i(\operatorname{crit}(i_1)) \in \operatorname{Im}(i)$ , whereas  $\operatorname{crit}(i) \notin \operatorname{Im}(i)$ . Hence  $\operatorname{crit}(i[i_1]) \neq \operatorname{crit}(i)$ , whence  $i \neq i[i_1]$ .  $\Box$ 

- ▶ Another realization (the "set-theoretic realization") of the free (left)-shelf,
- ▶ ...plus a proof of that a left-shelf with acyclic  $\_$  exists,
- ...whence a proof that  $\Box_{SD}$  is acyclic on  $\mathcal{T}_x$ ,
- ...whence a solution for the word problem of SD

(because both  $=_{SD}$  and  $\sqsubset_{SD}^*$  are semi-decidable).

but all this under the (unprovable) assumption that a Laver cardinal exists.

→ <u>motivation</u> for finding another proof/another realization...

the braid realization (1992)

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- <u>Notation</u>: ("left powers")  $j_{[p]} := j[j][j]...[j], p$  times j.
- <u>Definition</u>: For j in  $E_{\lambda}$ , crit<sub>n</sub>(j):= the (n + 1)st ordinal (from bottom) in {crit(i) | i \in lter(j)}.
  - One can show  $\operatorname{crit}_0(j) = \operatorname{crit}(j)$ ,  $\operatorname{crit}_1(j) = \operatorname{crit}(j[j])$ ,  $\operatorname{crit}_2(j) = \operatorname{crit}(j[j][j][j])$ , etc.

• <u>Proposition</u> (Laver): Assume that j is an elementary embedding from  $V_{\lambda}$  to itself. For i, i' in lter(j) and  $\gamma < \lambda$ , declare  $i \equiv_{\gamma} i'$  ("i and i' agree up to  $\gamma$ ") if  $\forall x \in V_{\gamma} (i(x) \cap V_{\gamma} = i'(x) \cap V_{\gamma}).$ Then  $\equiv_{crit_n(j)}$  is a congruence on lter(j), it has  $2^n$  classes, which are those of j, j<sub>[2]</sub>,..., j<sub>[2<sup>n</sup>]</sub>, the latter also being the class of id.

▶ Proof: (Difficult...) Starts from  $j \equiv_{crit(i)} i[j]$  and similar. Uses in particular  $crit(j_{[m]}) = crit_n(j)$  with *n* maximal s.t. 2<sup>*n*</sup> divides *m*.

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• Recall:  $A_n$  is the unique left-shelf on  $\{1, ..., 2^n\}$ satisfying  $p = 1_{[p]}$  for  $p \leq 2^n$  and  $2^n \triangleright 1 = 1$ . (or, equivalently, on  $\{0, ..., 2^n - 1\}$ ) satisfying  $p = 1_{[p]} \mod 2^n$  for  $p \leq 2^n$  and  $0 \triangleright 1 = 1$ )

# • <u>Corollary</u>: The quotient-structure $lter(j) / \equiv_{crit_n(j)}$ is (isomorphic to) the table $A_n$ .

▶ Proof: Write *p* for the  $\equiv_{\operatorname{crit}_n(j)}$ -class of  $j_{[p]}$ . The proposition says that  $\operatorname{Iter}(j)/\equiv_{\operatorname{crit}_n(j)}$  is a left-shelf whose domain is  $\{1, ..., 2^n\}$ ; By construction,  $p = 1_{[p]}$  holds for  $p \leq 2^n$ . Then  $j_{[2^n]} \equiv_{\operatorname{crit}_n(j)}$  id implies  $j_{[2^n+1]} \equiv_{\operatorname{crit}_n(j)} j$ , whence  $2^n \triangleright 1 = 1$  in the quotient.  $\Box$ 

A set-theoretic realization of  $A_n$  as a quotient of the (free) left-shelf lter(j).

Lemma: For every j in E<sub>λ</sub>, every term t(x), and every n, t(1)<sup>A<sub>n</sub></sup> = 2<sup>n</sup> is equivalent to crit(t(j)<sup>lter(j)</sup>) ≥ crit<sub>n</sub>(j); (\*) t(1)<sup>A<sub>n+1</sub></sup> = 2<sup>n</sup> is equivalent to crit(t(j)<sup>lter(j)</sup>) = crit<sub>n</sub>(j). (\*\*)
Proof: For (\*): crit(t(j)) ≥ crit<sub>n</sub>(j) means t(j) ≡<sub>crit<sub>n</sub>(j)</sub> id, i.e., the class of t(j) in A<sub>n</sub>, which is t(1)<sup>A<sub>n</sub></sup>, is that of id, which is 2<sup>n</sup>. For (\*\*): crit(t(j)) = crit<sub>n</sub>(j) is the conjunction of crit(t(j)) ≥ crit<sub>n</sub>(j) and crit(t(j)) ≥ crit<sub>n+1</sub>(j), hence of t(1)<sup>A<sub>n</sub></sup> = 2<sup>n</sup> and t(1)<sup>A<sub>n+1</sub> ≠ 2<sup>n+1</sup>: the only possibility is t(1)<sup>A<sub>n+1</sub> = 2<sup>n</sup>.
</sup></sup>

• <u>Proposition</u> ("dictionary"): For  $m \le n$  and  $p \le 2^n$ , the period of p jumps from  $2^m$  to  $2^{m+1}$  between  $A_n$  and  $A_{n+1}$ iff  $j_{[p]}$  maps  $\operatorname{crit}_m(j)$  to  $\operatorname{crit}_n(j)$ .

▶ Proof: Apply the lemma to the term  $x_{[p]}$ . As crit<sub>m</sub>(j) = crit(j<sub>[2<sup>m</sup>]</sub>), the embedding  $j_{[p]}$  maps crit<sub>m</sub>(j) to crit(j<sub>[p]</sub>[j<sub>[2<sup>m</sup>]</sub>]), so the RHT is crit(j<sub>[p]</sub>[j<sub>[2<sup>m</sup>]</sub>]) = crit<sub>n</sub>(j), whence  $(1_{[p]} \triangleright 1_{[2<sup>m</sup>]})^{A_{n+1}} = 2^n$  by (\*\*), which is also  $(p \triangleright 2^m)^{A_{n+1}} = 2^n$  (\*\*\*). First, (\*\*\*) implies  $\pi_{n+1}(p) > 2^m$ . On the other hand, (\*\*\*) projects to  $(p \triangleright 2^m)^{A_n} = 2^n$ , whence  $\pi_n(p) \le 2^m$ . As  $\pi_{n+1}(p)$  is  $\pi_n(p)$  or  $2\pi_n(p)$ , (\*\*\*) is equivalent to  $\pi_n(p)=2^m$  and  $\pi_{n+1}(p)=2^{m+1}$ .  $\Box$ 

# • Lemma: If j belongs to $E_{\lambda}$ , for every $\alpha < \lambda$ ,one has $j(j)(\alpha) \leq j(\alpha)$ .

▶ Proof: There exists  $\beta$  satisfying  $j(\beta) > \alpha$ , hence there is a smallest such  $\beta$ , which therefore satisfies  $j(\beta) > \alpha$  and

$$\forall \gamma < \beta \ (j(\gamma) \leqslant \alpha). \tag{*}$$

Applying j to (\*) gives

$$\forall \gamma < j(\beta) \ (j(j)(\gamma) \leqslant j(\alpha)).$$
 (\*\*)

Taking  $\gamma := \alpha$  in (\*\*) yields  $j(j)(\alpha) \leq j(\alpha)$ .

### • <u>Proposition</u> (Laver): If there exists a Laver cardinal, $\pi_n(2) \ge \pi_n(1)$ holds for all n.

▶ Proof: Write  $\pi_n(1) = 2^{m+1}$ , and let  $\overline{n}$  be maximal < n satisfying  $\pi_{\overline{n}}(1) \leq 2^m$ . By construction, the period of 1 jumps from  $2^m$  to  $2^{m+1}$  between  $A_{\overline{n}}$  and  $A_{\overline{n}+1}$ . By the dictionary, j maps crit<sub>m</sub>(j) to crit<sub> $\overline{n}$ </sub>(j). Hence, by the lemma, j[j] maps crit<sub>m</sub>(j) to  $\leq \operatorname{crit}_{\overline{n}}(j)$ . Therefore, there exists  $n' \leq \overline{n} \leq n \text{ s.t. } j[j]$  maps crit<sub>m</sub>(j) to  $\operatorname{crit}_{n'}(j)$ . By the dictionary, the period of 2 jumps from  $2^m$  to  $2^{m+1}$  between  $A_{n'}$  and  $A_{n'+1}$ . Hence, the period of 2 in  $A_n$  is at least  $2^{m+1}$ .

- Lemma: If j belongs to  $E_{\lambda}$ , then  $\lambda$  is the supremum of the ordinals crit<sub>n</sub>(j).
  - ▶ <u>Not</u> obvious: {crit(i) | i ∈ Iter(j)} is countable, but its order type might be  $>\omega$ .
  - ▶ Proof: (difficult...)

• <u>Proposition</u> (Laver): If there exists a Laver cardinal,  $\pi_n(1)$  tends to  $\infty$  with n.

 Proof: Assume π<sub>n</sub>(1) = 2<sup>m</sup>. We wish to show that there exists n
≥ n s.t. π<sub>n</sub>(1) = 2<sup>m</sup> and π<sub>n+1</sub>(1) = 2<sup>m+1</sup>.
 By the dictionary, this is equivalent to j mapping crit<sub>m</sub>(j) to crit<sub>n</sub>(j).
 Now j maps crit<sub>m</sub>(j), which is crit(j<sub>[2m]</sub>), to crit(j<sub>[j2m]</sub>].
 As j[j<sub>12m</sub>] belongs to lter(j), the lemma implies crit(j<sub>[j2m]</sub>] = crit<sub>n</sub>(j) for some n. □

Open questions: Find alternative proofs using no Laver cardinal.

- Are the properties of Laver tables an application of set theory?
  - ► So far, yes;
  - ▶ In the future, formally no if one finds alternative proofs using no large cardinal.
  - ▶ But, in any case, it is set theory that made the properties first accessible.
- Even if one does not <u>believe</u> that large cardinals exist (or are interesting), one should agree that they can provide useful intuitions.
- An analogy:
  - ▶ In physics: using a physical intuition, guess statements,

then pass them to the mathematician for a formal proof.

▶ Here: using a logical intuition (existence of a Laver cardinal),

guess statements (periods tend to  $\infty$  in Laver tables),

then pass them to the mathematician for a formal proof.

- The two main <u>open questions</u> about Laver tables:
  - Can one find alternative proofs using no large cardinal? (as done for the free shelf using the braid realization)
  - ▶ Can one use them in low-dimensional topology?



Richard Laver (1942-2012)

- $\bullet$  Question 0: Can shelves that are not racks be (really) useful in low-dimensional topology?
- Recall:  $B_{\infty}^{sp}$ := closure of {1} under  $\triangleright$  inside the infinite braid group  $B_{\infty}$  (realization of the free left shelf inside braids).

• Question 1: Let  $(S, \triangleright)$  be a monogenerated (left) shelf. Find a concrete description of the congruence  $\equiv_S$  s.t.  $(S, \triangleright)$  is (isomorphic to)  $(B_{\infty}^{sp}, \triangleright)/\equiv_S$ . Does  $\equiv_S$  extend to all of  $B_{\infty}$ ?

- ▶ Typical example:  $S := A_n$ , the *n*th Laver table.
- Laver tables are quotients of the (free) set theoretic shelf (lter(j), -[-]).
- Question 2: Can one find an alternative "self-iterating structure"  $(S, \triangleright)$ , which the Laver tables are quotients of?
  - Typical candidate: Scott's domains in  $\lambda$ -calculus (?)
- Question 3: Determine the (co)-homology of the free monogenrated shelf.

• Question  $\infty$ : Compute the function  $\mu_n$  defined on  $B_n^+$  (positive *n*-strand braids) by  $\mu_n(\beta) := \inf_{\alpha} \{\beta' \mid \beta' \text{ conjugated to } \beta\}.$ 

standard linear braid ordering

▶ Remark: certainly doable, at least for n = 3.

• Question  $\infty'$ : Same question with  $\nu_n(\beta) := \inf\{\beta' \mid \beta' \text{ Markov-equivalent to } \beta\}.$